



TRINIDAD AND TOBAGO GAZETTE

(EXTRAORDINARY)

VOL. 56

Caroni, Trinidad, Monday 30th January, 2017—Price \$1.00

No. 10

THE FOLLOWING HAS BEEN ISSUED:

ACT NO. 2 OF 2017—An Act to vary the appropriation of the sum the issue of which was authorised by the Appropriation (Financial Year 2016) Act, 2015 and varied by the Finance (Variation of Appropriation) (Financial Year 2016) Act, 2016—(80 cents).

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SUPPLEMENT TO THIS ISSUE

THE DOCUMENT detailed hereunder has been issued and is published as a Supplement to this issue of the *Trinidad and Tobago Gazette*:
Legal Supplement Part A—

Act No. 2 of 2017—An Act to vary the appropriation of the sum the issue of which was authorised by the Appropriation (Financial Year 2016) Act, 2015 and varied by the Finance (Variation of Appropriation) (Financial Year 2016) Act, 2016.

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ASSENT TO ACT

THE UNDERMENTIONED ACT enacted by His Excellency the President with the advice and consent of the Senate and House of Representatives has been assented to by His Excellency and is published as a Supplement to this *Trinidad and Tobago Gazette*:

<i>Number of Act</i>	<i>Short Title of Act</i>	<i>Date of Assent</i>
2 of 2017	The Finance (Variation of Appropriation) (Financial Year 2016) Act, 2017	25th January, 2017

J. SAMPSON-MEIGUEL
Clerk of the House

26th January, 2017.

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PROMOTIONS IN THE TRINIDAD AND TOBAGO DEFENCE FORCE (REGIMENT)

IN ACCORDANCE with the provisions of section 16, Part III of the Defence Act, Chap. 14:01 of the Laws of the Republic of Trinidad and Tobago, it is notified for general information that His Excellency the President, has approved the promotion of the undermentioned officers of the Trinidad and Tobago Defence Force (Regiment) as follows:

<i>No.</i>	<i>Name and Current Rank of Officer</i>	<i>Rank to which Promoted</i>	<i>Date of Promotion</i>
0195	LIEUTENANT SYLONN CARR	Captain	21st January, 2015
0194	LIEUTENANT NIGEL PARRIS	Captain	21st January, 2015
0192	SECOND LIEUTENANT BEDE MC BURNIE	Lieutenant	21st January, 2011
0193	SECOND LIEUTENANT AFIBA MOTTLEY	Lieutenant	21st January, 2011

L. JACOBS
*Permanent Secretary,
Ministry of National Security*

18th January, 2017.



In the Matter of Section 8 of the Securities Act, 2012
AND
In the Matter of the delegation of specific responsibilities,
powers and functions of the Commission to the Deputy Chief
Executive Officer

DELEGATION OF POWERS ORDER 2017

Dated this 23rd day of January, 2017

WHEREAS:

1. The Trinidad and Tobago Securities and Exchange Commission (“the Commission”) considers it appropriate and expedient for the purposes of the administration of the Securities Act 2012 (“the Act”) to delegate certain of its responsibilities, powers and functions;
2. The Commission is empowered under section 8 of the Act to delegate any of its responsibilities, powers or functions except the power to make By-Laws and to hear appeals under section 160 of the Act to any senior officer of the Commission;
3. For the purposes of section 8 of the Act “senior officer” includes any person holding or acting in the office of Chief Executive Officer, Deputy Chief Executive Officer, General Counsel or Director;

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DELEGATION OF POWERS ORDER 2017—CONTINUED

4. The Commission by Order dated 27th day of July 2016 delegated specific powers, responsibilities and functions to the Chief Executive Officer with effect from 21st July 2016 (“the Consolidated Delegation of Powers Order 2016”).
5. The Commission specified that the powers responsibilities and functions of the Commission delegated to the Chief Executive Officer by the Consolidated Delegation of Powers Order 2016 could not be exercised by any person acting as Chief Executive Officer.
6. The position of Chief Executive Officer became vacant on 1st January 2017. The Commission therefore considers it expedient to delegate all the powers, responsibilities and functions that have been delegated to the Chief Executive Officer by the Consolidated Delegation of Powers Order 2016 to the Deputy Chief Executive Officer until the appointment of a new Chief Executive Officer.

IT IS HEREBY ORDERED THAT:

The following specific powers, responsibilities and functions of the Commission are delegated to the Deputy Chief Executive Officer and not to any person acting as Deputy Chief Executive Officer:

1. The power to approve applications for registration of Registrants under section 51(1) and 61(1) of the Act respectively;
2. The power to approve applications for registration of Registered Representatives under section 51(2) of the Act;
3. The suspension or termination of the registration of Registered Representatives under section 55 of the Act;

TRINIDAD AND TOBAGO SECURITIES EXCHANGE COMMISSION
DELEGATION OF POWERS ORDER 2017—CONTINUED

4. The power to approve applications for registration of securities that qualify for automatic prospectus exemptions under section 79(1) of the Act and in particular:
 - a. Applications for registration of equity securities pursuant to employee stock compensation plans;
 - b. Applications for registration of Government issued or Government Guaranteed Bonds; and
 - c. Applications for registration of securities being offered to fewer than 50 accredited investors.

5. The power to approve of Revised Registration Statements that are filed with the Commission in accordance with section 61(2) of the Act;

6. The power to approve of applications for renewal of registration of registrants registered under section 51 of the Act.

7. The power to approve applications of compliance officers for the purposes of regulation 4 (2) of the Financial Obligations Regulations 2010;

8. The power to obtain information and documents in accordance with section 151 of the Act;

9. The power under section 45 of the Act to approve de-listing applications that do not adversely affect rights and that are essentially procedural.

10. The power to approve requests to Solicit Expressions of Interest in potential offerings of securities provided that the following conditions were satisfied:

TRINIDAD AND TOBAGO SECURITIES EXCHANGE COMMISSION
DELEGATION OF POWERS ORDER 2017—CONTINUED

- (a) That persons desirous of soliciting such expressions of interest notify the Commission of their intention to do so prior to soliciting the said expressions of interest;
 - (b) That the notification should identify the issuer of the security to be distributed as well as the salient characteristics of the security to be distributed; and
 - (c) That persons soliciting expressions of interest commit to maintaining adequate records regarding the solicitation of expressions of interest.
11. The power to approve the content of press releases required to be published under section 67 (2) (c) of the Act.
12. The power under section 54 (1) of the Securities Act 2012 (“the Act”) to approve a person to become fit and proper to become a substantial shareholder of a person registered under section 51 (1) of the Act by utilizing the criteria set out in By-Law 24 of the Securities (General) By-Laws 2015;
13. The power under section 56 (6) of the Act to consider and approve the registration of a branch office of a person registered under section 51 (1) of the Act; and
14. The responsibility under By-Law 46 (2) of the Securities (General) By-Laws 2015 to set the terms and conditions as he considers appropriate for the registration of a branch office of a registrant registered under section 51 (1) of the Act;
15. The power to approve applications for approval of registration of sponsored Broker-Dealers and sponsored Investment Advisers under section 51 (5) of the Act;

TRINIDAD AND TOBAGO SECURITIES EXCHANGE COMMISSION
DELEGATION OF POWERS ORDER 2017—CONTINUED

16. The power under section 57 (7) of the Act to rescind the suspension of the registration of persons registered under section 51 (5) of the Act where the issue that led to the suspension is resolved.
17. The power to remove Sponsored Broker-Dealers and Sponsored Investment Advisers from the Commission's register when their registrations under section 51(5) of the Securities Act, 2012 expire.
18. The power under section 64(2) of the Securities Act 2012 to consider applications for, and if thought fit, to grant an exemption to a reporting issuer from the requirement to publish a material change until a time to be determined by the Deputy Chief Executive Officer.

IT IS HEREBY FURTHER ORDERED THAT:

1. The Deputy Chief Executive Officer shall keep minutes of all meetings with respect to the powers delegated herein and shall record all decisions made with respect to each and every power, function or responsibility so delegated;
2. The Deputy Chief Executive Officer shall forthwith notify the Commission of every decision made by her in accordance with the delegated authority herein;
3. The Commission reserves the right to review any and all decisions made by the Deputy Chief Executive Officer pursuant to the delegated authority conferred herein;
4. This Order shall be published in the Trinidad and Tobago Gazette and on the Commission's Website;

TRINIDAD AND TOBAGO SECURITIES EXCHANGE COMMISSION
DELEGATION OF POWERS ORDER 2017—CONTINUED

5. This Order shall take effect from the nd23 day of January 2017; and
6. This Order to continue in force until further Order.



Mr. Douglas Mendes, S.C.
Chairman



Ms. Enid Zephyrine
Deputy Chairman



Ms. Suzette Taylor-Lee Chee
Commissioner



Mr. Imtiaz Hosein
Commissioner



Mr. Patrick Solomon
Commissioner

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LOSS OF SAGICOR LIFE INC. POLICIES

ANELLA XAVIER having made sworn deposition that Policy Number 070663997 issued by SAGICOR LIFE INC. on the life of ANELLA XAVIER has been lost, and having made application to the Directors to grant a duplicate of the same, notice is hereby given that unless objection is raised within one month of the date hereof, the duplicate policy asked for will be issued.

SAGICOR LIFE INC.

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GERAD DE MATAS having made sworn deposition that Policy Number U202447-2 issued by SAGICOR LIFE INC. on the life of GERAD DE MATAS has been lost, and having made application to the Directors to grant a duplicate of the same, notice is hereby given that unless objection is raised within one month of the date hereof, the duplicate policy asked for will be issued.

SAGICOR LIFE INC.

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LOSS OF MARITIME LIFE (CARIBBEAN) LIMITED POLICIES

CHANDRA BASDEO having made sworn declaration that Policy Number 228640 issued by MARITIME LIFE (CARIBBEAN) LIMITED on the life of ANAND BASDEO (Deceased), has been lost and having made application to the Company for the death benefit of the policy, notice is hereby given that unless objection is raised within one month of the date thereof, the death benefit due will be paid.

MARITIME LIFE (CARIBBEAN) LIMITED

No. 29 Tenth Avenue
Barataria.

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CARLA CHANG having made sworn declaration that Policy Number 311221 issued by MARITIME LIFE (CARIBBEAN) LIMITED on the life of PATRICK CHANG (Deceased), has been lost and having made application to the Company for the death benefit of the policy, notice is hereby given that unless objection is raised within one month of the date thereof, the death benefit due will be paid.

MARITIME LIFE (CARIBBEAN) LIMITED

No. 29 Tenth Avenue
Barataria.

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LOSS OF NATIONWIDE INSURANCE COMPANY LIMITED POLICY

THE ESTATE OF GRACE ARCHER having made sworn deposition that Policy Number N90035651 issued by NATIONWIDE INSURANCE COMPANY LIMITED on the life of GRACE ARCHER has been lost, and having made application to the Directors to grant a duplicate of the same, notice is hereby given that unless objection is raised within one month of the date hereof, the duplicate policy asked for will be issued.

NATIONWIDE INSURANCE COMPANY LIMITED

18th January, 2017.

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TRANSFER OF LICENCES
(Liquor Licences Act, Chap. 84:10)

VICTORIA WEST

NOTICE is hereby given that a notification in writing has this day been lodged with me the undersigned Secretary of the Licensing Committee for the Licensing District of Victoria West, San Fernando Area by Cheryl Ann Ramjoo of 723, Papourie Road, Lower Barrackpore, that it is her intention to apply to the Licensing Committee at the San Fernando Magistrates' Court on THURSDAY THE 9TH DAY OF February, 2017 for a transfer to her of the Licence to carry on the trade of a Spirit Retailer now held by Ramjit Pat Ramjoo for premises situate at 723, Papourie Road, Lower Barrackpore, in the said district.

Dated this 20th day of January, 2017 at the San Fernando Magistrates' Court.

N. PUNDIT
Secretary, Licensing Committee,
San Fernando

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ST. PATRICK EAST

NOTICE is hereby given that a notification in writing has this day been lodged with me the undersigned Secretary of the Licensing Committee for the Licensing District of St. Patrick East, Siparia/Erin Area, by Esarie Harricharan, that it is his/her intention to apply to the Licensing Committee at the Siparia Magistrate's Court on WEDNESDAY THE 15TH DAY OF FEBRUARY, 2017, for a transfer to him/her of the Licence to carry on the trade of a Spirit Retailer now held by Sintra Baiju in respect of premises situate at Southern Main Road, Aripere Village, Rousillac.

Dated this 18th day of January, 2017 at the Siparia Magistrate's Court.

C. DARSOO
Secretary, Licensing Committee,
Siparia/Erin

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NOTICE is hereby given that a notification in writing has this day been lodged with me the undersigned Secretary of the Licensing Committee for the Licensing District of St. Patrick East, Siparia/Erin Area, by Tony Banseelal, that it is his intention to apply to the Licensing Committee at the Siparia Magistrate's Court on WEDNESDAY THE 22ND DAY OF FEBRUARY, 2017, for a transfer to him of the Licence to carry on the business of a Restaurant and Bar now held by Seereram Ramnarine in respect of premises situate at No. 1 Rose Avenue, Mohesh Road, Penal.

Dated this 24th day of January, 2017 at the Siparia Magistrate's Court.

C. DARSOO
Secretary, Licensing Committee,
Siparia/Erin