



TRINIDAD AND TOBAGO GAZETTE (EXTRAORDINARY)

VOL. 50

Port-of-Spain, Trinidad, Wednesday 18th May, 2011—Price \$1.00

No. 66

753

SUPPLEMENT TO THIS ISSUE

THE DOCUMENTS detailed hereunder have been issued and are published as a Supplement to this issue of the *Trinidad and Tobago Gazette*:

Legal Supplement Part B—

Trinidad and Tobago Free Zones (Revocation) (No. 4) Order, 2011—(Legal Notice No. 87 of 2011).

Notification—Notification of a Pensionable Office—(Legal Notice No. 88 of 2011).

Notification—Notification of Pensionable Offices—(Legal Notice No. 89 of 2011).

Notification—Notification of Pensionable Offices—(Legal Notice No. 90 of 2011).

Notification—Notification of Pensionable Offices—(Legal Notice No. 91 of 2011).

Notification—Notification of Pensionable Offices—(Legal Notice No. 92 of 2011).

Notification of Recognition of Organisation—(Legal Notice No. 93 of 2011).

754

REPUBLIC OF TRINIDAD AND TOBAGO

IN THE HIGH COURT OF JUSTICE OF TRINIDAD AND TOBAGO

NOTICE TO JURORS

PUBLIC NOTICE is hereby given that one of the Judges of the High Court of Justice will sit in Chambers at the Court House at San Fernando on WEDNESDAY 25TH DAY OF MAY, 2011 at 9.00 o'clock in the forenoon for the purpose of hearing applications by persons for exemption from serving as Jurors at the Session to be held at San Fernando on Wednesday 1st June, 2011.

All such applications should be made in writing.

Applications made after that date may not be entertained.

Dated this 7th day of April, 2011.

T. RAMDIN
*Deputy Registrar, Supreme Court
San Fernando*

755

REGISTRATION RECOGNITION AND CERTIFICATION BOARD RULES, CHAP. 88:01—RULE 20

APPLICATION FOR CERTIFICATION OF RECOGNITION

Name and Address of Claimant Union: NATIONAL UNION OF GOVERNMENT AND FEDERATED WORKERS
145-147, HENRY STREET
PORT-OF-SPAIN

Name and Address of Employer: GENERAL MANAGER CARIBBEAN DOCKYARD AND ENGINEERING SERVICE LIMITED
CHAGUARAMAS

TAKE NOTICE that on the 28th April, 2011, the Registration Recognition and Certification Board received from the above-named Claimant Union an application for Certification of Recognition as the Recognised Majority Union in respect of a bargaining unit comprising the "All Monthly, Fortnightly and Weekly Paid" employees of Caribbean Dockyard and Engineering Services Limited.

Dated this 3rd day of May, 2011.

C. BUFFONG
*Secretary, Registration Recognition
and Certification Board*

756

Name and Address of Claimant Union: NATIONAL UNION OF GOVERNMENT AND FEDERATED WORKERS
145-147, HENRY STREET
PORT-OF-SPAIN

Name and Address of Employer: ANOTHER IDEA
12, FILM CENTRE
ST. JAMES POLICE BARRACKS
ST. JAMES

TAKE NOTICE that on the 18th April, 2011, the Registration Recognition and Certification Board received from the above-named Claimant Union an application for Certification of Recognition as the Recognised Majority Union in respect of a bargaining unit comprising the "All Monthly, Fortnightly and Weekly Paid" employees of Another Idea.

Dated this 29th day of April, 2011.

C. BUFFONG
*Secretary, Registration Recognition
and Certification Board*

757

Name and Address of Claimant Union: NATIONAL UNION OF GOVERNMENT AND FEDERATED WORKERS
145-147, HENRY STREET
PORT-OF-SPAIN

Name and Address of Employer: THE ADULT CAFÉ
ERIC WILLIAMS MEDICAL SCIENCES COMPLEX
MT. HOPE

TAKE NOTICE that on the 18th April, 2011, the Registration Recognition and Certification Board received from the above-named Claimant Union an application for Certification of Recognition as the Recognised Majority Union in respect of a bargaining unit comprising the "All Monthly, Fortnightly and Weekly Paid" employees of The Adult Café.

Dated this 29th day of April, 2011.

C. BUFFONG
*Secretary, Registration Recognition
and Certification Board*



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In The Matter of a Contravention of
Section 64 (3) of the Securities Industry Act, 1995
By Scotia DBG Investments Limited**

And

**In The Matter of A Proposed
Settlement Agreement Pursuant To
Part VIII of
Securities Industry (Hearings And Settlements)
Practice Rules, 2008 (“the Rules”)**

ORDER OF THE COMMISSION

Dated this ^{4th} 28 day of April, 2011

WHEREAS Scotia DBG Investments Limited (“**the Respondent**”) filed a copy of its Amended Registration Statement with the Trinidad and Tobago Securities and Exchange Commission (“**the Commission**”), on September 28, 2009, past the due date of September 9, 2009, for the year 2008;

AND WHEREAS by Notice of Contravention No. S.I. 45 of 2010 dated April 28, 2010 the Commission advised the Respondent that it was in contravention of section 64(3) of the Securities Industry Act, 1997 (“**the Act**”) by filing a copy of its Amended Registration Statement with the Commission, on September 28, 2009, past the due date of September 9, 2009, for the year 2008;

AND WHEREAS by letters dated August 20, 2010 and September 17, 2010 the Commission and the Respondent entered into settlement discussions to determine whether the matter could be settled;

AND WHEREAS on the 23rd day of February, 2011 the Respondent entered into a proposed settlement agreement with the Staff of the Commission which was signed by the duly authorized representative of the Commission on behalf of the Commission and the Chief Executive Officer of the Respondent on behalf of the Respondent (“**the Settlement Agreement**”), in which the parties agreed to a settlement, subject to the approval of the Settlement Panel;

AND WHEREAS the Settlement Panel approved the Settlement Agreement and agreed to submit the Settlement Agreement to the Commission;

AND WHEREAS the Respondent has paid to the Commission the sum of four thousand dollars (\$ 4,000.00);

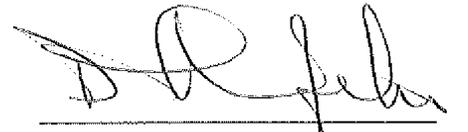
AND WHEREAS the Commission has considered the Settlement Agreement and is of the opinion that it is in the public interest to make this Order.

IT IS HEREBY ORDERED THAT:

(a) The procedure outlined under the Securities Industry (Hearings and Settlements) Practice Rules, 2008 has been followed;

- (b) The Respondent has contravened Section 64(3) of the Act and has paid to the Commission the sum of four thousand dollars (\$ 4,000.00) as the fine in this matter; and
- (c) This Order shall be published in the Trinidad and Tobago Gazette.

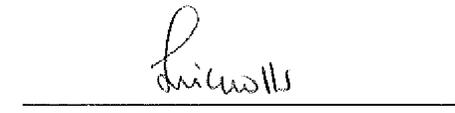
Dated this 28th day of April, 2011


Deborah Thomas-Felix (Chairman)


Horace Mahara (Commissioner)


Uchenna Ogbue (Commissioner)


Ravi Rajcoomar (Commissioner)


Shelton Nicholls (Commissioner)



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In The Matter of a Contravention of
Section 66 (1) (a) of the Securities Industry Act, 1995
By Scotia DBG Caribbean Income Fund Inc.**

AND

**In The Matter of a Contravention of
Section 66 (2) of the Securities Industry Act, 1995
And By-Law 55 (1) of the Securities Industry By-Laws, 1997
By Scotia DBG Caribbean Income Fund Inc.**

And

**In The Matter of A Proposed
Settlement Agreement Pursuant To
Part VIII of
Securities Industry (Hearings And Settlements)
Practice Rules, 2008 ("the Rules")**

ORDER OF THE COMMISSION

Dated this 28th day of April, 2011

WHEREAS Scotia DBG Caribbean Income Fund Inc. ("**the Respondent**") failed to file a copy of its Annual Report with the Trinidad and Tobago Securities and Exchange Commission ("**the Commission**"), on or before July 31, 2009, for the year 2009;

AND WHEREAS the Respondent failed to file a copy of its Interim Financial Statement with the Commission, on or before November 29, 2009, for the year 2009;

AND WHEREAS by Notices of Contravention Nos. S.I. 193 of 2009 and S.I. 44 of 2010 the Commission notified the Respondent that it was in contravention of:

i.section 66 (1) (a) of the Securities Industry Act, 1995 (“**the Act**”) by failing to file a copy of its Annual Report with the Commission, on or before July 31, 2009, for the year 2009; and

ii.section 66 (2) of the Act and By-Law 55 (1) of the Securities Industry By-Laws, 1997 (“**the By-Laws**”) by failing to file a copy of its 2009 Interim Financial Statement with the Commission, on or before November 29, 2009;

AND WHEREAS by letters dated August 20, 2010 and September 17, 2010 the Commission and the Respondent entered into settlement discussions to determine whether the matter could be settled;

AND WHEREAS on the 23rd day of February, 2011 the Respondent entered into a proposed settlement agreement with the Staff of the Commission which was signed by the General Manager of the Commission on behalf of the Commission and the General Manager of the Respondent on behalf of the Respondent (“**the Settlement Agreement**”), in which the parties agreed to a settlement, subject to the approval of the Settlement Panel;

AND WHEREAS the Settlement Panel approved the Settlement Agreement and agreed to submit the Settlement Agreement to the Commission;

AND WHEREAS the Respondent has paid to the Commission the sum of fifty-five thousand dollars (\$55,000 .00);

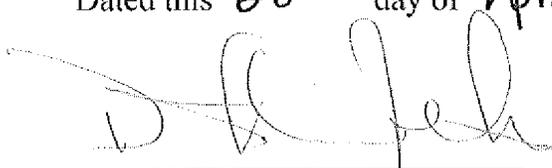
759—Continued

AND WHEREAS the Commission has considered the Settlement Agreement and is of the opinion that it is in the public interest to make this Order.

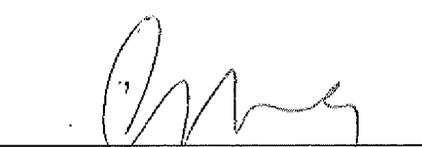
IT IS HEREBY ORDERED THAT:

- (a) The procedure outlined under the Securities Industry (Hearings and Settlements) Practice Rules, 2008 has been followed;
- (b) The Respondent has contravened sections 66(1) (a) of the Act and 66 (2) of the Act and By-Law 55 (1) of the By-Laws and has paid the sum of fifty-five thousand dollars (\$55,000.00) to the Commission as the fine in this matter; and
- (c) This Order shall be published in the Trinidad and Tobago Gazette and in a daily newspaper.

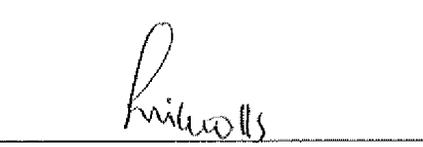
Dated this 28th day of April, 2011


Deborah Thomas-Felix (Chairman)


Horace Mahara (Commissioner)


Uchenna Ogbue (Commissioner)


Ravi Rajcoomar (Commissioner)


Shelton Nicholls (Commissioner)



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In The Matter of a Contravention of
Section 66 (2) of the Securities Industry Act, 1995
And By-Law 55 (1) of the Securities Industry By-Laws, 1997
By Scotia DBG Investments Limited**

And

**In The Matter of A Proposed
Settlement Agreement Pursuant To
Part VIII of
Securities Industry (Hearings And Settlements)
Practice Rules, 2008 ("the Rules")**

ORDER OF THE COMMISSION

Dated this *28* **day of** *April* **, 2011**

WHEREAS Scotia DBG Investments Limited ("**the Respondent**") failed to file its Interim Financial Statement with the Trinidad and Tobago Securities and Exchange Commission ("**the Commission**"), on or before June 29, 2009, for the year 2009;

AND WHEREAS by Notice of Contravention No. S.I. 127 of 2009 dated December 31, 2009 the Commission advised the Respondent that it was in contravention of section 66 (2) of the Securities Industry Act, 1995 (“**the Act**”) and By-Law 55 (1) of the Securities Industry By-laws, 1997 (“**the By-Laws**”) by failing to file its Interim Financial Statement with the Commission, on or before June 29, 2009, for the year 2009;

AND WHEREAS by letters dated August 20, 2010 and September 17, 2010 the Commission and the Respondent entered into settlement discussions to determine whether the matter could be settled;

AND WHEREAS on the 23rd day of February 2011 the Respondent entered into a proposed settlement agreement with the Staff of the Commission which was signed by the duly authorized representative of the Commission on behalf of the Commission and the Chief Executive Officer of the Respondent on behalf of the Respondent (“**the Settlement Agreement**”), in which the parties agreed to a settlement, subject to the approval of the Settlement Panel;

AND WHEREAS the Settlement Panel approved the Settlement Agreement and agreed to submit the Settlement Agreement to the Commission;

AND WHEREAS the Respondent has paid to the Commission the sum of twenty-five thousand dollars (\$25,000.00);

AND WHEREAS the Commission has considered the Settlement Agreement and is of the opinion that it is in the public interest to make this Order.

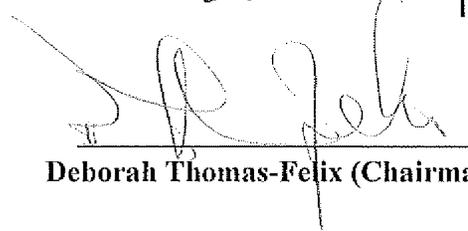
IT IS HEREBY ORDERED THAT:

- (a) The procedure outlined under the Securities Industry (Hearings and Settlements) Practice Rules, 2008 has been followed;

760—Continued

- (b) The Respondent has contravened section 66 (2) of the Act and By-Law 55 (1) of the By-laws and has paid the sum of \$25,000.00 to the Commission as the fine in this matter; and
- (c) This Order shall be published in the Trinidad and Tobago Gazette and in a daily newspaper.

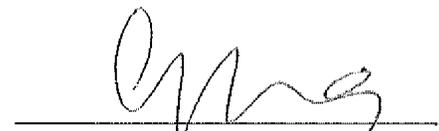
Dated this 28th day of April, 2011



Deborah Thomas-Felix (Chairman)



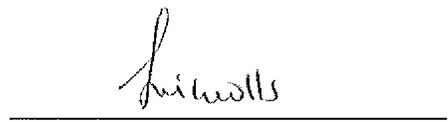
Horace Mahara (Commissioner)



Uchenna Ogbue (Commissioner)



Ravi Rajcoomar (Commissioner)



Shelton Nicholls (Commissioner)



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In The Matter of a Contravention of
Section 64 (3) of the Securities Industry Act, 1995
By La Brea Industrial Development Company Limited**

AND

**In The Matter of a Contravention of
Section 66(1)(a) of the Securities Industry Act, 1995
By La Brea Industrial Development Company Limited**

AND

**In The Matter of a Contravention of By Law 56 (1)
Of the Securities Industry By-Laws, 1997
By La Brea Industrial Development Company Limited**

AND

**In The Matter of a Contravention of
Section 66 (2) of the Securities Industry Act, 1995
And By-Law 55(1) of the Securities Industry By-Laws, 1997
By La Brea Industrial Development Company Limited**

AND

**In The Matter of A Proposed
Settlement Agreement Pursuant To
Part VIII of
Securities Industry (Hearings And Settlements)
Practice Rules, 2008 ("the Rules")**

ORDER OF THE COMMISSION

Dated this ^{28th} day of April, 2011

761—Continued

WHEREAS La Brea Industrial Development Company Limited (“**the Respondent**”) filed a copy of its Amended Registration Statements with the Trinidad and Tobago Securities and Exchange Commission (“**the Commission**”), past the due date of December 7, for the following years:

- (a) 2005 (filed on December 7, 2008);
- (b) 2006 (filed on December 7, 2008); and
- (c) 2007 (filed on March 6, 2009);

AND WHEREAS The Respondent failed to file a copy of its audited Comparative Financial Statement with the Commission, on or before March 31, for the following years:

- (a) 2005;
- (b) 2006; and
- (c) 2007;

AND WHEREAS the Respondent failed to file a copy of its Annual Report with the Commission, on or before April 30, for the following years:

- (a) 2005;
- (b) 2006; and
- (c) 2007;

AND WHEREAS the Respondent failed to file a copy of its Interim Financial Statement with the Commission, on or before August 29, for the following years:

- (a) 2005;
- (b) 2006;
- (c) 2007; and
- (d) 2008;

AND WHEREAS by Notices of Contravention Nos. S.I. 20 of 2010 to S.I. 23 of 2010 dated April 28, 2010 respectively the Commission notified the Respondent that it was in contravention of:

- (a) Section 64(3) of the Securities Industry Act, 1995 (“**the Act**”) by filing a copy of its Amended Registration Statements with the Commission, past the due date of December 7, for the following years:
 - i. 2005 (filed on December 7, 2008);

761—Continued

- ii. 2006 (filed on December 7, 2008); and
- iii. 2007 (filed on March 6, 2009);

(b) By Law 56(1) of the Securities Industry By-Laws, 1997 (“**the By-Laws**”) by failing to file a copy of its audited Comparative Financial Statement with the Commission, on or before March 31, 2009, for the following years:

- i. 2005;
- ii. 2006; and
- iii. 2007;

(c) Section 66(1)(a) of the Act by failing to file a copy of its Annual Report with the Commission, on or before April 30, 2009, for the following years:

- i. 2005;
- ii. 2006; and
- iii. 2007;

(d) Section 66 (2) of the Act and By-Law 55(1) the By-Laws by failing to file a copy of its Interim Financial Statement with the Commission, on or before August 29, 2009, for the following years:

- i. 2005;
- ii. 2006;
- iii. 2007; and
- iv. 2008;

AND WHEREAS by letters dated May 17, 2010, June 8, 2010, September 2, 2010, October 8, 2010, October 14, 2010, November 18, 2010, January 7, 2011, January 12, 2011 and January 19, 2011 the Commission and the Respondent entered into settlement discussions to determine whether the matter could be settled;

AND WHEREAS on 23rd day of February, 2011 the Respondent entered into a proposed settlement agreement with the Staff of the Commission which was signed by the duly authorized representative of the Commission for and on behalf of the Commission and the President of the Respondent on behalf of the Respondent (“**the Settlement Agreement**”), in which the parties agreed to a settlement, subject to the approval of the Settlement Panel;

761—Continued

AND WHEREAS the Settlement Panel approved the Settlement Agreement and agreed to submit the Settlement Agreement to the Commission;

AND WHEREAS the Respondent has paid to the Commission the sum of Three Hundred and Two Thousand Dollars (\$302,000.00);

AND WHEREAS the Commission has considered the Settlement Agreement and is of the opinion that it is in the public interest to make this Order.

IT IS HEREBY ORDERED THAT:

- (a) The procedure outlined under the Securities Industry (Hearings and Settlements) Practice Rules, 2008 has been followed;
- (b) The Respondent has contravened sections 64(3), 66(1)(a), and 66(2) of the Act and By-Laws 56(1) and 55(1) of the By-Laws and has paid the sum of Three Hundred and Two Thousand Dollars (\$302,000.00) to the Commission representing the total penalties in this matter; and
- (c) This Order shall be published in the Trinidad and Tobago Gazette.

Dated this 28th day of April, 2011



Deborah Thomas-Felix (Chairman)



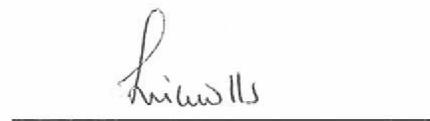
Horace Mahara (Commissioner)



Uchenna Ogbue (Commissioner)



Ravi Rajcoomar (Commissioner)



Shelton Nicholls (Commissioner)

762

SPECIAL LICENSING SESSIONS
(*Liquor Licences Act, Chap. 84:10*)

ARIMA

NOTICE is hereby given that by lawful authority under the provisions of the Liquor Licences Act, Chap. 84:10, the Licensing Committee for the Licensing District of St. George East, Arima Area, has appointed TUESDAY THE 24TH DAY OF MAY, 2011 at 9.00 o'clock in the forenoon at the Arima Magistrate's Court as the day, hour and place at which a Special Session will be held to hear and determine the application of Bert Francis of 430, Gladiolus Crescent, La Horquetta, Arima, for a Certificate authorising him to carry on the business of a Spirit Restaurant in respect of premises situate at Corner St. Joseph and Sorzano Streets, Arima.

Dated this 10th day of May, 2011 at the Arima Magistrate's Court.

S. RAGHOO
*Secretary, Licensing Committee,
St. George East*

763

COUVA

NOTICE is hereby given that by lawful authority under the provisions of the Liquor Licences Act, Chap. 84:10, the Licensing Committee for the Licensing District of the County of Caroni, Couva Area, has appointed THURSDAY THE 26TH DAY OF MAY, 2011 at 9.00 o'clock in the forenoon at the Couva Magistrate's Court as the day, hour and place at which a Special Session will be held to hear and determine the application of Alice Fraser of 5A, Crown Trace, Crown Park, Enterprise, for a Certificate authorising her to carry on the business of a Special Restaurant in respect of premises situate at Lot No. 101, Mission Road, Freeport.

Dated this 10th day of May, 2011 at the Couva Magistrate's Court.

Y. RAMRATTANSINGH
*Secretary, Licensing Committee,
Caroni*

764

LICENSING SESSIONS
(*Liquor Licences Act, Chap. 84:10*)

COUVA

NOTICE is hereby given that in pursuance of the provisions of the Liquor Licences Act, Chap. 84:10, the Licensing Committee for the Magisterial District of the County of Caroni, Couva Area, has appointed THURSDAY THE 9TH DAY OF JUNE, 2011 at 9.00 o'clock in the forenoon at the Couva Magistrate's Court as the day, hour and place at which a Session will be held for granting of the Committee's Certificate for the issue of Liquor Licences in the Magisterial District of Couva for the period 1st July, 2011 to 31st March, 2012.

Applications for New Licences must be submitted in triplicate on the prescribed forms and accompanied by the following:

- (i) three (3) copies of the floor plan of the premises sought to be licensed;
- (ii) Board of Inland Revenue Number of Applicant; and
- (iii) Prescribed fee of forty dollars (\$40.00).

These should reach the Secretary, Liquor Licensing Committee, Couva, on or before the 19th day of May, 2011.

Dated this 11th day of May, 2011 at the Couva Magistrate's Court.

Y. RAMRATTANSINGH
*Secretary, Licensing Committee,
Caroni*

765

SAN FERNANDO

NOTICE is hereby given that the Licensing Committee for the Licensing District of Victoria West, San Fernando Area, has appointed THURSDAY THE 16TH DAY OF JUNE, 2011 at 9.00 o'clock in the forenoon at the San Fernando Magistrate's Court as the day, hour and place at which a Session will be held for the granting of Certificates for the issue of Licences for the period 1st July, 2011 to 31st March, 2012.

All applications for Certificates for New Licences must be submitted to the Secretary of the Licensing Committee in writing in quintuplicate on the prescribed form along with a fee of forty dollars (\$40.00) and three (3) copies of the Floor Plan of the premises proposed to be licensed and should reach her not later than the 25th May, 2011.

All applicants are required to produce documentary evidence of their Board of Inland Revenue File Number.

Dated this 29th day of April, 2011 at the San Fernando Magistrate's Court.

J. SARGEANT
*Secretary, Licensing Committee,
Victoria West*

766

TRANSFER OF LICENCES
(*Liquor Licences Act, Chap. 84:10*)

ST. GEORGE EAST

NOTICE is hereby given that a notification in writing has this day been lodged with me the undersigned Secretary of the Licensing Committee of the Licensing District of St. George East, Tunapuna Area, by Anderson Hazette of 44, Eastern Main Road, St. Augustine, that it is his intention to apply to the Licensing Committee at the Tunapuna Magistrate's Court on WEDNESDAY THE 25TH DAY OF MAY, 2011 at 9.00 o'clock in the forenoon for a transfer to him of the Licence to carry on the trade of a Spirit Grocer now situate at 33C, Eastern Main Road, Tunapuna to premises situate at 35, Eastern Main Road, Tunapuna, in the said District.

Dated this 10th day of May, 2011 at the Tunapuna Magistrate's Court.

J. DICKSON
*Secretary, Licensing Committee,
Tunapuna*

767

NOTICE is hereby given that a notification in writing has this day been lodged with me the undersigned Secretary of the Licensing Committee for the Licensing District of St. George East, Arima Area, by Dionne Daniel Narine of Maturita Village, Arima, that it is her intention to apply to the Licensing Committee at the Arima Magistrate's Court on TUESDAY THE 7TH DAY OF JUNE, 2011 at 9.00 o'clock in the forenoon for a transfer to her of the Spirit Retailer's Licence now held by Donath Daniel (deceased), in respect of premises situate at Maturita Village, Arima.

Dated this 11th day of May, 2011 at the Arima Magistrate's Court.

S. RAGHOO
*Secretary, Licensing Committee,
Arima*