



# TRINIDAD AND TOBAGO GAZETTE (EXTRAORDINARY)

VOL. 54

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No. 44

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## OFFICE OF THE PRIME MINISTER

### SEPARATIONS

IN ACCORDANCE with the Director of Personnel Administration's Circular Memorandum No. E: 26/06 dated 25th August, 2006, the undermentioned notices are published for general information:

#### Retirements

Name	Rank of Officer	Ministry/Department	Date	Remarks
Singh-Maraj, Mohandai Mrs.	Messenger I ...	Office of the Prime Minister	27th May, 2015	Compulsory Retirement

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Name	Rank of Officer	Ministry/Department	Date	Remarks
Sankar, Zinobia Mrs. ...	Clerk III ... ..	Office of the Prime Minister	23rd December, 2014	Voluntary Retirement

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## MINISTRY OF LOCAL GOVERNMENT

### SEPARATIONS

THE following arrangements are notified for general information:

#### Retirements

Name	Rank of Officer	Ministry/Department	Date	Remarks
Coomansingh, Dhanpatee Ms. ...	Clerk II ... ..	Ministry of Local Government	11th May, 2015	Compulsory Retirement
Mahadeo, Gangadai Mr. ... ..	Clerk/Stenographer I ...	do.	1st September, 2015	Voluntary Retirement

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Name	Rank of Officer	Ministry/Department	Date	Remarks
Mohammed, Clara Ms ...	Clerk/Stenographer I ...	Ministry of Local Government	10th September, 2015	Compulsory Retirement

MINISTRY OF PUBLIC ADMINISTRATION—LIST OF RECIPIENTS FOR 2014

## List of Scholarship Recipients 2014

### Allied Health Scholarship Recipients 2014

No.	NAME	AREA OF STUDY	LEVEL	INSTITUTION
1	Amira Dan	Nutrition and Dietetics	Bachelor's	University of the West Indies
2	Amelia Ali	Medical Laboratory Technician	Bachelor's	COSTAATT
3	Gervonne Barran	Biomedical Technology	Bachelor's	The University of Trinidad & Tobago (UTT)
4	Raejean Small	Physiotherapy	Bachelor's	University of the West Indies (Mona)
5	Vandana Rampersad	Nutrition and Dietetics	Bachelor's	University of the West Indies
6	Abiola Marvelle-Ann Olivia Lyons	Health Administration/Hospital Administration	Master's	The University of Trinidad & Tobago (UTT)
7	Avianne Roxanne Claire Tobias	Physiotherapy	Master's	Bangor University
8	Khamara-Lani Claire Tarradath	Occupational Therapy	Master's	University of St. Augustine
9	Marsha Dewan	Medical and Psychiatric Social Work	Master's	University of the West Indies (St. Augustine)
10	Nadia Catherine Goodman	Speech and Language Pathology	Master's	University of the West Indies ( St. Augustine)
11	Navida Bachan	Health Economics	Master's	University of Aberdeen
12	Rachel Gemima Reuben	Clinical Psychology	Master's	University of the West Indies (St. Augustine)
13	Usha-Marie Andrews	Speech and Language Pathology	Master's	University of the West Indies (St. Augustine)

### China Scholarship Council- Trinidad and Tobago Recipients 2015

No.	NAME	AREA OF STUDY	LEVEL	INSTITUTION
1	Shonae Phillips	Environmental Engineering	Bachelor's	Overseas Education College of Xiamen University
2	Brittney Stewart	Chemical & Process Engineering	Bachelor's	Shandong University of Science & Technology
3	Kevin Assam	Business Administration	Bachelor's	Donghua University

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MINISTRY OF PUBLIC ADMINISTRATION—LIST OF SCHOLARSHIP RECIPIENTS FOR 2014—CONTINUED

## Commonwealth - United Kingdom Scholarship Recipients 2014

No.	NAME	AREA OF STUDY	LEVEL	INSTITUTION
1	Asha Lall	Dentistry	Master's	University College London
2	Shervon Ifill	Water & Waste Engineering	Master's	Loughborough University
3	Corlis Alexander	Medicine	Master's	Cardiff University
4	Shari Spencer	Epidemiology	Master's	University of Leeds
5	Omari Robinson	Management & Risk Regulation	Master's	University of Reading
6	Kerna Faustin	Counselling	Doctorate	The University of Manchester
7	Danielle Mc Dougall	Education (Special Needs)	Doctorate	University of Exeter

## Development Needs Scholarship Recipients 2014

No.	NAME	AREA OF STUDY	LEVEL	INSTITUTION
1	Kinno Jarvis	Theatre Arts	Bachelor's	University of the West Indies
2	Jaevan Burke	Sport Science	Bachelor's	University of South Florida (USA)
3	Rommel Mahon	Public Health	Master's	University of the West Indies
4	Wesley Meade	Occupational Health & Safety	Master's	University of Greenwich, Distance Learning
5	Niall Leelah	DM Haematology & Oncology	Master's	University of West Indies (Jamaica)
6	Karis Wright	M. Ed Educational Specialist Degree School Psychology	Master's	Andrews University
7	Lauren Boodoo	DM Haematology and Medical Oncology	Master's	University of West Indies (Jamaica)

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MINISTRY OF PUBLIC ADMINISTRATION—LIST OF SCHOLARSHIP RECIPIENTS FOR 2014—CONTINUED

### Development Needs Scholarship Recipients 2014

No.	NAME	AREA OF STUDY	LEVEL	INSTITUTION
8	Kevin Henry	Oral and Maxillofacial Surgery	Master's	University College of London, UK
9	Jenny Constantine	M. Sc. Transnational Crime, Justice and Security	Master's	University of Glasgow, UK
10	Jenna Wickham	M.Sc. Organizational Change and Development	Master's	University of Manchester, UK
11	Safiya de Four	Physical Therapy	Master's	University of the West Indies
12	Chikere Thomas	Counseling Psychology	Master's	University of the West Indies, Barbados
13	Lesley Ann Foster-Nicholas	Public Health	Doctorate	Loma Linda University, USA
14	Franka Atwell-Chin Hong	Educational Psychology	Doctorate	Andrews University, Distance Learning
15	Edison Joel Greenaway	Educational Psychology	Doctorate	Andrews University, Distance Learning

### Grenada Scholarship Recipients 2014

No.	NAME	AREA OF STUDY	LEVEL	INSTITUTION
1	Jefferson Parris	Statistics & Economics	Bachelor's	University of the West Indies
2	Marlena Gabriel	General (Biochemistry & Chemistry)	Bachelor's	University of the West Indies
3	Yashira Peters	Spanish	Bachelor's	University of the West Indies
4	Maria Wilson	General (Biochemistry & Biology)	Bachelor's	University of the West Indies
5	Dilly-Ann Bartholomew	Food Science & Technology	Bachelor's	University of Trinidad and Tobago
6	Mickel McMillan	Information Technology	Bachelor's	University of the West Indies
7	Reann Martineau	Agribusiness Management	Bachelor's	University of the West Indies
8	Kamilah Williams	French	Bachelor's	University of the West Indies
9	Molinda Modeste	Pharmacy	Bachelor's	University of the West Indies
10	Vernessa Noel	Dental Surgery	DDS	University of the West Indies

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MINISTRY OF PUBLIC ADMINISTRATION—LIST OF SCHOLARSHIP RECIPIENTS FOR 2014—CONTINUED

### Persons with Disabilities Scholarship Recipients 2014

No.	NAME	AREA OF STUDY	LEVEL	INSTITUTION
1	Anil Maharaj	Management	Bachelor's	University of the West Indies
2	Kiran Ragoo	Mechanical Engineering	Bachelor's	University of the West Indies
3	Leon Joshua Kempson Ryan	Project Management	Master's	University of the West Indies
4	Tricia Gabriella Bruce	Educational Psychology	Master's	University of the Southern Caribbean

### Meteorological Technician Certificate Scholarship Recipients 2014

No.	NAME	AREA OF STUDY	LEVEL	INSTITUTION
1	Courtland J Adams	Meteorological Technician Certificate	Certificate	Caribbean Institute of Meteorology and Hydrology
2	Shiekahadd Gul Aziz Ali	Meteorological Technician Certificate	Certificate	Caribbean Institute of Meteorology and Hydrology
3	Alyssa Temika Andall	Meteorological Technician Certificate	Certificate	Caribbean Institute of Meteorology and Hydrology
4	David Ricardo Wickham	Meteorological Technician Certificate	Certificate	Caribbean Institute of Meteorology and Hydrology
5	Nikkita Samantha Phillip	Meteorological Technician Certificate	Certificate	Caribbean Institute of Meteorology and Hydrology
6	Jonathan Mora	Meteorological Technician Certificate	Certificate	Caribbean Institute of Meteorology and Hydrology
7	Nicholas Hollis Jayne George	Meteorological Technician Certificate	Certificate	Caribbean Institute of Meteorology and Hydrology
8	Isara Kindi Alibaksh	Meteorological Technician Certificate	Certificate	Caribbean Institute of Meteorology and Hydrology

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MINISTRY OF PUBLIC ADMINISTRATION—LIST OF SCHOLARSHIP RECIPIENTS FOR 2014—CONTINUED

### Meteorological Technician Certificate Scholarship Recipients 2014

No.	NAME	AREA OF STUDY	LEVEL	INSTITUTION
9	Avalon K Rampersad	Meteorological Technician Certificate	Certificate	Caribbean Institute of Meteorology and Hydrology
10	Yogita Vera Ramroop	Meteorological Technician Certificate	Certificate	Caribbean Institute of Meteorology and Hydrology

### Organization of American States Scholarship Recipients 2014

No.	NAME	AREA OF STUDY	LEVEL	INSTITUTION
1	Juelle Peters	Risk Management	Bachelor's	University of Waterloo
3	Paula Edwards-Sealey	School Psychology	Doctorate	Andrews University
4	Aleia Ahyoung	Evaluation Studies	Doctorate	University of Minnesota

### Social Services Scholarship Recipients 2014

No.	NAME	AREA OF STUDY	LEVEL	INSTITUTION
1	Melissa Nesbitt	Development Statistics	Master's	University of the West Indies
2	Marsha Dewan	Social Work	Master's	University of the West Indies
3	Petrus John	Social Work	Master's	University of the West Indies
4	Nichola Mapp	Social Work	Master's	University of the West Indies
5	Ornella Foster	Social Work	Master's	University of the West Indies
6	Ruth Doodnauth	Child Psychology	Master's	University of Chester (UK)

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MINISTRY OF PUBLIC ADMINISTRATION—LIST OF SCHOLARSHIP RECIPIENTS FOR 2014—CONTINUED

### Social Services Scholarship Recipients 2014

No.	NAME	AREA OF STUDY	LEVEL	INSTITUTION
7	Tricia Joseph-Jagroop	Counselling Psychology	Master's	University of Southern Caribbean
8	Merianna Alice Joseph	Counselling Psychology	Master's	University of Southern Caribbean

### Postgraduate Scholarship for Persons with First Class Honours Undergraduate Degree Scholarship Recipients 2014

No.	NAME	AREA OF STUDY	LEVEL	INSTITUTION
1	Nicholas Jessee Figaro	Medical Residency- Otorhinolaryngology	Master's	University of the West Indies
2	Kristian Eamon Coutou	Clinical Dentistry (Oral and Maxillofacial Surgery)	Master's	University of Manchester
3	Tennille Deirdre Joanna Auguste	Veterinary Science - (Small Animal Surgery)	Master's	Purdue University
4	Vishal Ramjattan	Curriculum & Teaching	Doctorate	University of the West Indies
5	Javed Mikhail Razack	Oil and Gas Management	Master's	University of Aberdeen
6	Lisa Charmaine George-Sharp	Energy Studies (Oil and Gas Economics)	Master's	University of Dundee
7	Nirala Boodoo	Geophysics	Master's	Imperial College
8	Sanjeev Varun Maharaj	Petroleum Geophysics	Master's	Imperial College
9	Natalie Natesha Suepaul	Early Childhood Education	Doctorate	University of the West Indies

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MINISTRY OF PUBLIC ADMINISTRATION—LIST OF SCHOLARSHIP RECIPIENTS FOR 2014—CONTINUED

## Postgraduate Scholarship for Persons with First Class Honours Undergraduate Degree Scholarship Recipients 2014

No.	NAME	AREA OF STUDY	LEVEL	INSTITUTION
10	Avion Candice Ashton	Global Strategic Communications	Master's (Online)	University of Florida
11	Chenelle Lori Dookeeram	Engineering Process Safety & Loss Prevention	Master's	University of Sheffield
12	Geeta Ramsoobag	Mechanical Engineering	Master's	University of the West Indies
13	Jimmy David Wong	Financial Risk Management	Master's	University of Reading
14	Kevin Nigel Anthony Lezama	Advanced Mechanical Engineering	Master's	Cranfield Institute of Technology
15	Nadja Adora Nabbie	Creative and Critical Writing	Master's	University of Sussex
16	Richelle Kymberli Aisha Toussaint	Risk Management	Master's	University of Nottingham
17	Samantha Harridath	Education - Literacy Learning & Literacy Difficulties	Master's	Institute of Education, University of London
18	Shaun Liverpool	Health Psychology	Master's	University College London
19	Trudy Ann Bernadine Marquis	Speech and Language	Master's	University of the West Indies
20	Valini Patrice Kissoon	Petroleum Geoscience	Master's	Royal Holloway College, University of London
21	Vikash Ramkumar	Electrical and Computer Engineering	Master's	Georgia Institute of Technology
22	Kerolyn Katrina Shairsingh	Chemical Engineering and Applied Chemistry	Doctorate	University of Toronto
23	Narvin David Neehall	Process, Environmental & Materials Engineering	Doctorate	University of Leeds
24	Aaron Santana	Petroleum Geoscience	Master's	Heriot-Watt University
25	Anthony Baptiste	Software Engineering	Master's	Queen Mary, University of London
26	Bevon Grant	Electrical Engineering & Renewable Energy Systems	Master's	University of Leeds
27	Camille Bartholomew	Art Therapy	Master's	New York University



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MINISTRY OF PUBLIC ADMINISTRATION—LIST OF SCHOLARSHIP RECIPIENTS FOR 2014—CONTINUED

## Postgraduate Scholarship for Persons with First Class Honours Undergraduate Degree Scholarship Recipients 2014

No.	NAME	AREA OF STUDY	LEVEL	INSTITUTION
28	Cassie Joseph	International Health Management	Master's	University of Bradford
29	Delia Brito	Psychology	Master's	University of the West Indies
30	Devika Bhagwandin	Statistics	Master's	University of the West Indies
31	Kimberly Campbell	Applied Psychology with a concentration in Counselling	Master's	Lynn University
32	Lana Kerr	Marital & Family Therapy	Master's	Loma Linda University
33	Michaela Hill	Clinical Dermatology	Master's	Kings College, University of London
34	Miriam Self	Development Psychology	Master's	London School of Economics & Political Science
35	Nikita Jaggernauth	Tropical Medicine & International Health	Master's	London School of Hygiene & Tropical Medicine
36	Roshan Seeramsingh	Geographical Information Management & Application	Master's	University College London
37	Shivani Ramoutar	Geoinformatics	Master's	University of the West Indies
38	Sonia Ramroop	Risk Management	Master's	University of Reading
39	Zareen Ramkaransingh	Public Sector Management	Master's	University of the West Indies (Part-time)
40	Afeefah Ali	Child Psychology	Master's	Kingston University
41	Kavita Mahabir	Petroleum Engineering	Master's	University of the West Indies
42	Elmus Jaikaran	Petroleum Engineering	Master's	University of the West Indies
43	Carolyn Heeraman	Public Health	Master's	University of South Florida

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MINISTRY OF PUBLIC ADMINISTRATION—LIST OF SCHOLARSHIP RECIPIENTS FOR 2014—CONTINUED

## Postgraduate Scholarship for Persons with First Class Honours Undergraduate Degree Scholarship Recipients 2014

No.	NAME	AREA OF STUDY	LEVEL	INSTITUTION
44	Arti Maharaj	Clinical Pharmacy, International Practice and Policy	Master's	University College London
45	Celina Rhona Millington	Master of Education	Master's	University of the West Indies
46	Mala Roshni Ramesar	Applied Psychology	Master's	University of the West Indies
47	Camille L King	Master of Arts in Counseling	Master's	Caribbean Nazarene College
48	Ken Francis Charles	Business Development, Strategy and Innovation	Master's	Arthur Lok Jack Graduate School of Business
49	Mark Shane Siewnarinesingh	Applied Social Psychology	Master's	University of Sussex
50	Merissa Miranda Jacob	MEd in Inclusive and Special Education	Master's	University of the West Indies
51	Inshan Ali	Doctor of Medicine in Medical Microbiology	Master's	University of the West Indies
52	Imhotep Baptiste	Electrical & Electronic Engineering PhD	Doctorate	University of Manchester
53	Onella G Khan	Msc Clinical Psychology	Master's	University of the West Indies
54	Nyleesia Neola Nicome	M.Phil. Degree in Psychology	Master's	University of the West Indies
55	Sasonel Khadisha Martin	MA. International Development: Poverty, Conflict & Reconstruction	Master's	University of Manchester



# TRINIDAD & TOBAGO SECURITIES & EXCHANGE COMMISSION

[www.tfsec.org.tt](http://www.tfsec.org.tt)

The Trinidad and Tobago Securities and Exchange Commission ("the Commission") is responsible for ensuring that the securities industry operates in a fair, transparent and efficient manner in order to protect the rights of investors.

The Commission regulates the securities market by registering all securities that are distributed to the public, all issuers of those securities, and market intermediaries directly involved in the issuance, offering and sale of those securities, e.g. **Broker-Dealers, Investment Advisers, Underwriters, and Self-Regulatory Organizations.** Certain employees or officers of Broker-Dealers, Investment Advisers and Underwriters are also required to be registered with the Commission as Registered Representatives.

### HOW DOES REGISTERING WITH THE COMMISSION PROTECT INVESTORS?

Registration requires all registrants (issuers of securities as well as market intermediaries such as **Broker-Dealers, Investment Advisers, and Underwriters**) to provide the Commission with pertinent information regarding the registrant itself (such as background information on its operations, management and financial position) as well as the securities being distributed to the public or to investors. **The Commission ensures that the information meets the requirements of the law and is available to the public.**

Firms and individuals are registered by category - each registration category attracts different educational and experience requirements, and permits different activities. **The Commission ensures that the companies are genuine entities with a registered office, headed by qualified professionals who possess the knowledge, skills and experience to conduct the class of business for which the companies are being registered.**

### WHAT ARE THE QUALIFICATIONS FOR REGISTRATION?

Registration will not be granted unless the Commission is satisfied that an applicant is fit and proper, meets the requirement of the law with respect to capital and educational qualifications and discloses any information that may affect the conduct and integrity of his/her business. This information is also available for public viewing at the Commission.

However, **the provision of information does not end after the initial registration. Registrants are required to continuously provide the Commission, as well as investors, with information inclusive of changes which may positively or negatively impact the performance of their business and the securities available to investors.**

### IS REGISTRATION AN ENDORSEMENT?

While we advise investors to deal only with registrants that are registered with the Commission, **registration with the Commission is NOT an endorsement of the registrant or of any security. The Commission provides neither guarantee nor opinion on the performance of a registrant or security.**

**Always remember, even though you are dealing with someone who is registered with the Commission, you should evaluate the registrant and the securities being offered and if necessary, seek independent financial advice before making an investment decision.**

### DEFINITION OF TERMS

#### Broker-Dealer

A firm acting as an intermediary between a buyer and a seller of securities, usually for a fee or a commission. When acting as a broker, a broker/dealer executes orders on behalf of his/her client. When acting as a dealer, a broker/dealer executes trades for his/her firm's own account.

#### Investment Adviser

An individual or firm that advises clients on investment in, or the purchase or sale of securities on a professional basis.

#### Registered Representative

An employee or officer of a registered Broker-Dealer, Investment Adviser or Underwriter who liaises with members of the public on behalf of their employers further to the offering, distribution or sale of securities.

#### Reporting Issuer

A company that has issued or proposes to distribute securities to the public and is subject to the continuous disclosure requirements of the Commission.

#### Self-Regulatory Organization

A non-governmental organization that has the power to create and enforce industry regulations and standards. The priority is to protect investors through the establishment of rules that promote ethics and equality.

#### Underwriter

A company that arranges for the issuance or distribution of securities and/or agrees to purchase any unsold securities thereby guaranteeing full subscription.

**In accordance with the requirements of Section 52(8) of the Securities Act, 2012, the Commission has provided a listing of companies and individuals who are authorized and registered to sell securities as at March 31, 2015 as indicated on the following pages.**

### WANT TO KNOW MORE?

**For additional information, you are invited to contact, the Trinidad and Tobago Securities and Exchange Commission, at (868) 624-2991, or visit our website at [www.tfsec.org.tt](http://www.tfsec.org.tt).**

## LIST OF REGISTRANTS

### Broker-Dealers

- |   |  |   |
|---|--|---|
| 1. AIC Securities Limited                   | 16. First Citizens Brokerage and Advisory Services Limited                     | 31. Murphy Clarke Financial Limited                         |
| 2. Alan, Myrle V.**                         | 17. First Citizens Investment Services Limited                                 | 32. Padmore, Winston**                                      |
| 3. Abier, Oscar**                           | 18. First Citizens Securities Trading Limited                                  | 33. RBC Merchant Bank (Caribbean) Limited                   |
| 4. Amanah Securities Limited                | 19. FirstCaribbean International Bank (Trinidad & Tobago) Limited <sup>†</sup> | 34. Republic Bank Limited                                   |
| 5. Ansa Merchant Bank Limited               | 20. Firstline Securities Limited   | 35. Republic Finance and Merchant Bank Limited              |
| 6. Ansa Securities Limited                  | 21. General Finance Corporation Limited  | 36. Republic Securities Limited                             |
| 7. Bourse Brokers Limited                   | 22. GraceKennedy (Trinidad and Tobago) Limited                                 | 37. Sagcor Asset Management (Trinidad and Tobago) Limited   |
| 8. Bourse Securities Limited                | 23. Guardian Asset Management & Investment Services Limited <sup>†</sup>       | 38. Sagcor Life Incorporated                                |
| 9. Caribbean Stockbrokers Limited           | 24. Guardian Asset Management Limited  | 39. Scotia Investments (Trinidad and Tobago) Limited        |
| 10. Carrera-Justiz, Francisco**             | 25. Intercommercial Trust and Merchant Bank Limited                            | 40. Scotiabank Trinidad & Tobago Limited                    |
| 11. Citicorp Merchant Bank Limited          | 26. JMMB Investments (Trinidad and Tobago) Limited                             | 41. Scotiabrust & Merchant Bank (Trinidad & Tobago) Limited |
| 12. Clouven, Randolph**                     | 27. KCL Capital Market Brokers Limited   | 42. Sheppard Securities Limited                             |
| 13. Development Finance Limited             | 28. KSBM Asset Management Limited  | 43. The Home Mortgage Bank                                  |
| 14. First Citizens Asset Management Limited | 29. Maritime Capital Limited   | 44. Trinidad and Tobago Unit Trust Corporation              |
| 15. First Citizens Bank Limited             | 30. Montes, Francisco**  | 45. West Indies Stockbrokers Limited                        |

### Investment Advisers

1. Bherath, Adrian
2. Burris, Stephen
3. Clewett, Nigel
4. FCL Financial Limited
5. Funds International Limited
6. Global Financial Brokers Limited
7. Goolcharan, Vishal
8. Guardian Life of the Caribbean Limited
9. Hussain, Hamon
10. Infinity Financial Engineering Limited
11. Maharij, Kerri
12. Marquis Portfolio Managers Limited
13. Mondial (Trinidad) Limited
14. Qwan-Soon, Ian
15. RBC Investment Management (Caribbean) Limited
16. Republic Wealth Management Limited
17. Ruby Wealth Management Limited
18. Vega Capital Management Limited
19. Viola Asset Management Limited

### Underwriters

1. FirstCaribbean International Bank (Bahamas) Limited

\*\* - These are individuals who were previously registered as independent Brokers in their individual capacities. Further to section 53(1) (a) of the Securities Act, 2012 these individuals are deemed to be Broker-Dealers until the earlier of either:

a) 30 days from the date of the Commission's publication of notification that the Securities General By-Laws 2014 have come into force; or  
b) June 30, 2015.

## LIST OF REGISTRANTS: CONT'D

REGISTERED REPRESENTATIVES		
NAME OF REGISTERED REPRESENTATIVE	ASSOCIATED BROKER-DEALER	TYPE OF REGISTERED REPRESENTATIVE
1. Alexander, Liesel	KCL Capital Market Brokers Limited	Broker
2. Ali, Selma	West Indies Stockbrokers Limited	Broker
3. Alleyne, Harold	Caribbean Stockbrokers Limited	Broker
4. Basdeo, Anderson	Citicorp Merchant Bank Limited	Trader in GOTT Bonds
5. Baird, Dwane	Viola Asset Management Limited	Investment Adviser
6. Basdeo, Roshan	West Indies Stockbrokers Limited	Trader
7. Bridgewater, Joanne	Republic Securities Limited	Broker
8. Chen, Nancy	West Indies Stockbrokers Limited	Trader
9. Chin, Ian	Ansa Merchant Bank Limited	Broker in GOTT Bonds
10. Coonal Ryan	Bourse Brokers Limited	Trader
11. Crooks, Victoria	Sheppard Securities Limited	Trader
12. Edwards, Donald	GracKennedy (Trinidad and Tobago) Limited	Dealer, Investment Adviser and Underwriter
13. Eve, Patricia	Republic Securities Limited	Trader
14. Ferguson, Andrew	Maritime Capital Limited	Dealer, Investment Adviser and Underwriter
15. Gajadhar, Kerry	Caribbean Stockbrokers Limited	Trader
16. Gatt, Gordon Junior	Sheppard Securities Limited	Trader
17. Gosein, Godfrey	Republic Securities Limited	Broker
18. Hepburn, Karrian	Scotia Investments Trinidad and Tobago Limited	Broker
19. Ho Sing Loy, Ameen	RBC Merchant Bank (Caribbean) Limited	Broker in GOTT Bonds
20. Inniss-Bernard, Judy	Republic Securities Limited	Trader
21. Johnson, Alvin	Caribbean Stockbrokers Limited	Broker
22. Leggard, Kerfi	Sheppard Securities Limited	Trader
23. Leons, Keron	First Citizens Investment Services Limited	Trader
24. Kerr, Janella	FirstCaribbean International Bank (Trinidad & Tobago) Limited	Dealer, Investment Adviser
25. Mahabirsingh, Sharma	First Citizens Investment Services Limited	Trader
26. Manmohan, Adrian	West Indies Stockbrokers Limited	Broker
27. Marineau, Dan	Trinidad and Tobago Unit Trust Corporation	Broker in GOTT Bonds
28. Mitchell, Eva	Scotia Investments (Trinidad and Tobago) Limited	Trader
29. Mullings, Donevan	Bourse Brokers Limited	Broker
30. Narine, Ian	Amanah Securities Limited	Broker
31. O'Brien, Anna	First Citizens Bank Limited	Trader in GOTT Bonds
32. Pereira, Marc	Sheppard Securities Limited	Trader
33. Ramkhelawan, Sarah	Bourse Securities Limited	Broker
34. Ramkhelawan, Subhas	Bourse Securities Limited	Broker
35. Ramlogan, Rawle	The Home Mortgage Bank	Dealer and Underwriter
36. Ramnath-Singh, Gail	Caribbean Stockbrokers Limited	Trader
37. Ramroop, Diana	First Citizens Brokerage and Advisory Services Limited	Trader
38. Ramsaran, Anrika	Bourse Securities Limited	Trader
39. Roberts, Steve	Republic Wealth Management Limited	Investment Adviser
40. Sabga, Nigel A	Ansa Merchant Bank Limited	Trader
41. Salloum, Christiane	Sheppard Securities Limited	Trader
42. Salvary, Brent	KSBM Asset Management Limited	Broker
43. Savary, Hilary	AIC Securities Limited	Trader
44. Sheppard, Alan	Sheppard Securities Limited	Trader
45. Sheppard, George	Sheppard Securities Limited	Broker
46. Sookhoo, Angie	RBC Merchant Bank (Caribbean) Limited	Trader
47. St. Louis, Leslie	First Citizens Brokerage and Advisory Services Limited	Broker
48. Stephens, Gerard	Ansa Merchant Bank Limited	Trader in GOTT Bonds
49. Syme, Sascha	First Citizens Investment Services Limited	Broker
50. Tang Nian, Stephen	RBC Merchant Bank (Caribbean) Limited	Broker
51. Thomas, Rowland	Guardian Asset Management	Broker
52. Thomas, Stephen	Citicorp Merchant Bank Limited	Broker in GOTT Bonds and Underwriter
53. Thompson, Leah	Sheppard Securities Limited	Trader
54. Wells-Fraser, Bernadette	AIC Securities Limited	Trader

## SELF-REGULATORY ORGANIZATIONS

1. The Trinidad and Tobago Central Depository Limited
2. The Trinidad and Tobago Stock Exchange Limited

## REPORTING ISSUERS

1. Addi Venture Capital Fund Limited
2. Agostini's Limited
3. Airports Authority of Trinidad and Tobago
4. Angestura Holdings Limited
5. Angostura Limited
6. ANSA McAL Limited
7. Ansa Merchant Bank Limited
8. Assuria Life (T&T) Ltd
9. Berger Paints Trinidad Limited
10. BWA West Indies Airways Limited
11. Caroni (1975) Limited
12. CIBC Private Client Fund Limited
13. Citibank (Trinidad and Tobago) Limited
14. Citicorp Merchant Bank Limited
15. Development Finances Limited
16. Dynamic Equity Fund II Limited
17. Dynamic Equity Venture Fund Limited
18. Education Facilities Company Limited
19. First Citizens Asset Management Limited
20. First Citizens Bank Limited
21. First Citizens Investment Services Limited
22. FirstCaribbean International Bank Limited
23. Flavorito Foods Limited
24. FNCU Venture Capital Company Limited
25. Fortress Caribbean High Interest Fund Limited
26. Fortress Caribbean Property Fund Limited SCC
27. Fortress Global Value Fund Limited
28. Fortress Mutual Fund Limited
29. Furness Trinidad Limited
30. GracKennedy Limited
31. Guardian Asset Management & Investment Services Limited
32. Guardian Holdings Limited
33. Guardian Media Limited
34. Intercommercial Bank Limited
35. Jamaica Money Market Brokers Limited
36. JMMB Group Limited
37. J.L. Williams Limited
38. La Brea Industrial Development Company Limited
39. Life Settlements Funds Limited
40. Massy Holdings Limited
41. Mora Ven Holdings Limited
42. National Commercial Bank Jamaica Limited
43. National Enterprises Limited
44. National Flour Mills Limited
45. National Infrastructure Development Co. Ltd.
46. National Insurance Property Development Co. Ltd.
47. National Maintenance Training & Security Co. Ltd.
48. Nestle Trinidad and Tobago Limited
49. One Caribbean Media Limited
50. Point Lisas Industrial Port Development Corporation Limited
51. Port Authority of Trinidad and Tobago
52. Prestige Holdings Limited
53. Public Transport Service Corporation
54. RBC Financial (Caribbean) Limited
55. RBC Merchant Bank (Caribbean) Limited
56. RBC Royal Bank (Trinidad & Tobago) Limited
57. RBT Finance Limited
58. ReadyMix (West Indies) Limited
59. Republic Bank Limited
60. Republic Finance and Merchant Bank Limited
61. Royal Bank of Canada
62. Royal Skandia Life Assurance Limited
63. Sagcor Asset Management (Trinidad & Tobago) Limited
64. Sagcor Financial Corporation
65. Sagcor Funds Incorporated
66. Scotia Caribbean Income Fund Inc
67. Scotia Investments (Jamaica) Limited
68. Scotiabank Trinidad & Tobago Limited
69. Scotiabank Trinidad & Tobago Fixed Income Fund Inc
70. Scotiabank Trinidad and Tobago Growth Fund Inc.
71. St Christopher Air and Sea Ports Authority
72. St. Kitts Urban Development Corporation Limited
73. Supreme Ventures Limited
74. TCL Leasing Limited
75. TCL Service Limited
76. Telecommunications Services of Trinidad & Tobago Limited
77. The Home Mortgage Bank
78. The National Football Stadium Company Limited
79. The Sports Company of Trinidad and Tobago Limited
80. The West Indian Tobacco Company Limited
81. Tourism & Industrial Development Company of Trinidad & Tobago Limited
82. Trinidad and Tobago Housing Development Corporation
83. Trinidad and Tobago Mortgage Finance Company Ltd.
84. Trinidad and Tobago Unit Trust Corporation
85. Trinidad Cement Limited
86. Unilever Caribbean Limited
87. Unit Trust Corporation (Cayman) SPC Limited
88. Urban Development Corporation of Trinidad & Tobago Limited
89. UT Umbrella Fund PCC Limited
90. Water & Sewerage Authority

<sup>1</sup>As a Broker-Dealer, First Citizens Bank Limited ("FCB") was authorized to conduct business as a Broker in GOTT Bonds, Investment Adviser, and Underwriter. FCB's ability to conduct business as a Broker in GOTT Bonds was suspended with effect from March 25, 2014.

<sup>2</sup>As a Broker-Dealer, FirstCaribbean International Bank (Trinidad and Tobago) Limited ("FCIBIT") was authorized to conduct business as a Dealer, Investment Adviser and Underwriter. FCIBIT's ability to conduct business as an Underwriter was suspended with effect from February 28, 2015.

<sup>3</sup>As a Broker-Dealer, Guardian Asset Management and Investment Services Limited ("GAMISL") was authorized to conduct business as a Broker, Dealer, Investment Adviser and Underwriter. GAMISL's ability to conduct business as a broker was suspended with effect from August 1, 2014.

TRINIDAD AND TOBAGO  
SECURITIES AND EXCHANGE COMMISSION

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