



TRINIDAD AND TOBAGO GAZETTE (EXTRAORDINARY)

VOL. 50

Port-of-Spain, Trinidad, Friday 25th March, 2010—Price \$1.00

No. 34

375



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In the Matter of the Securities Industry Act, 1995 (Chap 83:02)

And

**In The Matter of the Contravention of A
Prescribed Condition Of
The Trinidad and Tobago Securities and Exchange Commission (the Commission)
For A Limited Offering of 11,766,705 Common Shares (the Shares)
To The National Insurance Board of Barbados (NIBB)
By Sagicor Financial Corporation (the Respondent)**

And

**In The Matter of A Proposed
Settlement Agreement Pursuant To
Part VIII of
Securities Industry (Hearings And Settlements)
Practice Rules, 2008 (“the Rules”)**

ORDER OF THE COMMISSION

WHEREAS the Respondent has been a registered issuer with the Commission since December 19, 2002;

AND WHEREAS the Respondent is incorporated in Barbados. The Respondent's shares are listed on the Barbados Stock Exchange and cross listed on the London Stock Exchange and the Trinidad and Tobago Stock Exchange;

AND WHEREAS by way of a letter dated December 12, 2009, the Respondent advised the Commission that it had invited NIBB to subscribe for and NIBB had agreed to acquire the Shares in the Respondent by means of a private placement ("**the Distribution**"), claiming prospectus exemptions under section 75(1)(i) and/or section 75(2) of the Securities Industry Act, 1995 ("**the Act**"). The Respondent further sought confirmation from the Commission that it could proceed with the transaction;

AND WHEREAS on December 17, 2009, the Respondent applied to the Commission to register the Shares by submitting a Registration Statement Form No. 4 and paid the fees associated with such application;

AND WHEREAS by way of a letter dated December 23, 2009, the Staff of the Commission advised the Respondent that it was prepared to consider the exemption from the prospectus requirements on the condition that the Distribution was treated as a limited offering" in keeping with section 75(4) of the Act, provided that the following conditions were met:

- (a) the Respondent obtain an agreement from NIBB that NIBB would file a prospectus if the sale of the Shares purchased by it results in there being more than thirty-five (35) owners within six (6) months of the Distribution and submit such agreement to the Commission;
- (b) no selling or promotional expenses are paid or incurred in connection with the Distribution except for professional services or other services other than the solicitation of investors by the Respondent; and

375—Continued

(c) The Respondent issue a prominently placed press release in all three (3) daily newspapers in Trinidad & Tobago seven (7) days prior to the distribution (“**the Press Release**”):

- i. disclosing the nature and substance of the change; and
- ii. including the names of the issuer and the purchaser, the number of the Shares and the price/value of the Shares (including the percentage owned by NIBB before and after the Distribution)(“**the Conditions**”);

AND WHEREAS by letter dated December 28, 2009 the Respondent referred to a telephone conversation held on December 28, 2009 and confirmed to the Commission that the Barbados Securities Commission had already given its receipt for registration of the Shares and had granted the Respondent prospectus exemption under sections of the Barbados law materially identical to those claimed but rejected by the Commission under Trinidad and Tobago law. This letter requested the Commission to reconsider its position as stated in its letter of December 23, 2009 or, alternately, state the basis on which it considered that the requirements of sections 75(1)(i) and 75(2) had not been satisfied;

AND WHEREAS with the full concurrence of the respective regulators in Barbados and London, the Shares were listed on the Barbados Stock Exchange on December 29, 2009 and on the London Stock Exchange on December 30, 2009;

AND WHEREAS by way of a letter dated December 30, 2009 the Commission advised the Respondent that the:

- (a) conditions were based on specific regulatory concerns and a concern to maintain the integrity of the domestic securities market;

- (b) Staff considered that the requirement for the Respondent to obtain an agreement from NIBB that NIBB would file a prospectus if the sale of the Shares purchased by it resulted in there being more than thirty-five (35) owners within six (6) months of the Distribution and to submit such agreement to the Commission is necessary to uphold the intent of the law where a distribution has benefited from a prospectus exemption by virtue of being a limited offering;
- (c) Staff was also of the view that, while the prior approval of shareholders might not have been legally required for the transaction, it was in the interest of fairness and transparency as well as the proper functioning of the market that the shareholders and other stakeholders of the Respondent be provided with reasonable advance notice of the proposed change; and
- (d) it is on this basis of these regulatory concerns that the Respondent was asked to notify the public of Trinidad and Tobago prior to the Distribution;

AND WHEREAS by way of a letter dated December 30, 2009 the Respondent informed the Commission that NIBB had confirmed its intention to hold the Shares on a long-term basis and accordingly agreed to enter into a private placement agreement as requested by the Commission and submitted a draft of the Agreement to the Commission. Further, the Respondent submitted a draft of the Press Release to the Commission for review. The Respondent further informed the Commission that it was unable to comply with the seven (7) days prior notice to the Trinidad and Tobago market as the Distribution had been already completed under the laws of Barbados and the United Kingdom and that under the Barbados Securities Act any announcement made was required to be an announcement of completion of the Distribution. A copy of the approved and executed private placement agreement was filed with the Commission on February 22, 2010 and the approved Press Release was subsequently published by the Respondent in the newspapers in Trinidad & Tobago on January 5, 2010;

375—Continued

AND WHEREAS the Commission served the Notice of Contravention dated February 22, 2010 on the Respondent on February 22, 2010 and the Respondent wrote to the Commission on June 2, 2010 indicating that it was prepared to settle the matter;

AND WHEREAS on December 28, 2010 the Respondent entered into a proposed settlement agreement with the Staff of the Commission which was signed by the authorized representative of the Commission for and on behalf of the Commission and by the authorized representatives of the Respondent for and on behalf of the Respondent (“**the Settlement Agreement**”), in which the parties agreed to a settlement, subject to the approval of the Settlement Panel;

AND WHEREAS the Settlement Panel approved the Settlement Agreement and agreed to submit the Settlement Agreement to the Commission;

AND WHEREAS the Respondent shall pay to the Commission the sum of Twenty Five Thousand Trinidad and Tobago Dollars (TT\$25,000.00) within twenty-eight (28) days of the making of this Order;

AND WHEREAS the Commission has considered the Settlement Agreement and is of the opinion that it is in the public interest to make this Order.

IT IS HEREBY ORDERED THAT:

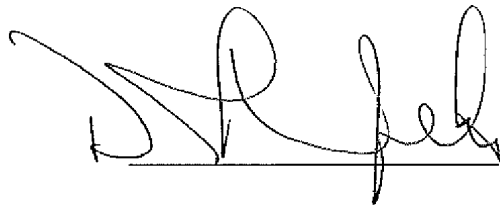
- (a) The procedure outlined under the Securities Industry (Hearings and Settlements) Practice Rules, 2008 has been followed;
- (b) The Respondent is in contravention of a prescribed condition of the Commission for the Limited Offering pursuant to sections 75(4) and 75(7)(a) of the Act by reason of its

375—Continued

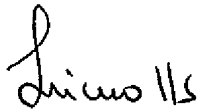
failure to issue the Press Release within seven (7) days prior to the issuance of the Shares to the NIBB and shall within twenty-eight (28) days of the making of this Order pay to the Commission the sum of Twenty Five Thousand Trinidad and Tobago Dollars (TT\$25,000.00) as the fine in this matter; and

(c) This Order shall be published in the Trinidad and Tobago Gazette.

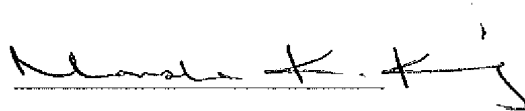
Dated this 8th day of February, 2011



Deborah Thomas-Felix
Chairman



Dr. Shelton Nicholls
Commissioner



Marsha K. King
Commissioner

376



THE 2010 UPDATED PUBLIC STATEMENT OF NATIONAL ENERGY CORPORATION OF TRINIDAD AND TOBAGO LIMITED IN COMPLIANCE WITH SECTIONS 7, 8 and 9 OF THE FREEDOM OF INFORMATION ACT 1999

Under the Freedom of Information Act 1999 ("FOIA"), National Energy Corporation of Trinidad and Tobago Limited (NEC) is required by Section 7, 8 and 9 of the FOIA to publish the following statements which list the documents and information generally available to the public. This includes the structure and functions of NEC and a list of categories of documents held by NEC. The FOIA also identifies those documents which are eligible for public access under it and sets out how to obtain same. The following information satisfies the legislative requirements of the FOIA. This is an Updated Public Statement.

The FOIA gives members of the public:

1. A legal right for each person to access information held by a Public Authority;
2. A legal right for each person to have official information relating to him/herself amended where it is incomplete, incorrect or misleading;
3. A legal right to obtain reasons for adverse decisions made regarding an applicant's request for information under the FOIA.
4. A legal right to complain to the Ombudsman and to apply to the High Court for Judicial Review to challenge adverse decisions made under the FOIA in accordance with sections 7 (1) (b) and 9 (2) (b) of the FOIA.

The National Energy Corporation of Trinidad and Tobago Limited publishes the following information as approved by the Minister of Energy and Energy Industries in accordance with sections 7 (1) (b) and 9 (2) (b):

STATEMENTS UNDER SECTION 7 OF THE ACT

Section 7 (1) (a) (i)

NEC is a wholly-owned subsidiary of The National Gas Company of Trinidad and Tobago Limited (NGC). NEC was incorporated as a company under the Companies Ordinance Chapter 31 on the 7th September, 1979 and continued under the Companies Act 1995 on 7th July, 1998. NEC holds no shareholding or equity interests in any other entity.

THE SCOPE OF BUSINESS OF NEC AND ITS AFFILIATED COMPANIES INCLUDE:

- The conceptualization, promotion, development and facilitation of new energy-based and downstream industries in Trinidad and Tobago.
- Identification and development of new industrial estates.
- Identification and development of new industrial deep water ports to facilitate these estates.
- Ownership and operation of marine and other infrastructural assets to facilitate all gas-based petrochemical and metal plants.
- Development and management of La Brea and Union Industrial Estates.
- Towage and harbour operations.
- Sustainable management of the environment.

CORPORATE INFORMATION

NEC's registered office is located at Corner of Rivulet and Factory Roads, Brechin Castle, Couva.

The Company is governed by a Board of Directors appointed by the Government of the Republic of Trinidad and Tobago. The Members of the Board are:

Larry Howai (Chairman)
 Haseena Ali
 Carlton Gibson
 Cathal Healy-Singh
 Rabindra Jaggernauth
 Clyde Ramkhalawan

One (1) Board sub-committee assists in formulating and guiding the business and policies of the Company, namely:-

- The Tenders Committee

The Company's executive management team comprises of the President, one (1) Vice President, four (4) Managers, one (1) Assistant Manager and several Heads of Departments.

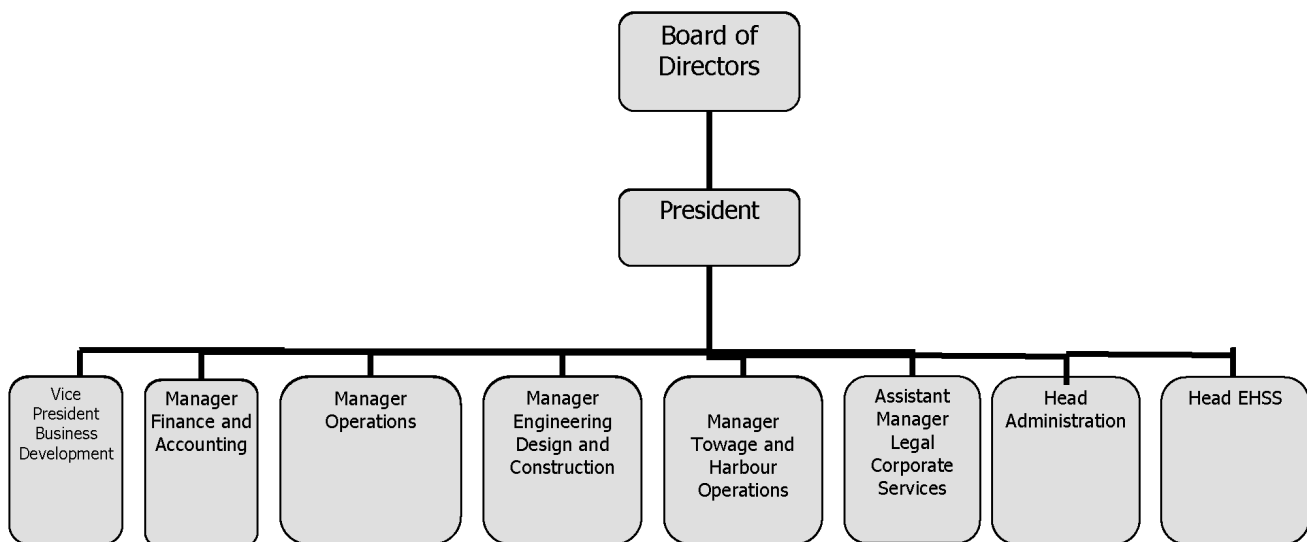
376—Continued



NATIONAL ENERGY CORPORATION OF TRINIDAD AND TOBAGO LIMITED

FREEDOM OF INFORMATION ACT, 1999

COMPANY STRUCTURE



There are eight (8) operating divisions within NEC. They are:-

Business Development performs the following functions:

- Implementation of GORTT's policy with respect to the development of energy based industries in Trinidad and Tobago.
- Research and development of energy based projects.
- Conceptualization of new energy-based projects.
- Technical and economic evaluation of energy projects.
- Promotion of energy-based industrial projects.
- Facilitation of the development of energy projects.
- Consultation on energy policy and energy industry development for foreign governments and foreign National Oil Companies.
- Participation in internal and external project development teams.
- **Strategic Planning for the organization.**

Marine Terminal Operations ensures that all NEC owned marine terminals are properly maintained and remain available to Users on a continuous basis, and that all international safety, operating and environmental policies and standards are observed and enforced in order to facilitate the safe, secure and efficient loading and discharging of hazardous cargo.

Engineering Design and Construction performs the following functions:

- carries out engineering designs and construction supervision for new industrial estates and port facilities.

376—Continued



NATIONAL ENERGY CORPORATION OF TRINIDAD AND TOBAGO LIMITED

FREEDOM OF INFORMATION ACT, 1999

- Oversight for the preparation and submission of applications to the Town and Country Planning Division (TCPD) and the Environmental Management Authority (EMA) for Certificates of Environmental Clearance (CEC), as a function of NEC's construction projects mandate.
- Monitoring oversight for the conduct, preparation and submission of Environmental Impact Assessment Studies to the EMA as and when required for the determination of CEC applications.
- Monitoring and auditing oversight capabilities for the implementation of CEC conditions on a project basis.

Towage and Harbour Operations performs the task of managing the operation, maintenance and co-ordination of the company's fleet of tugs and other ancillary crafts that provide towage services to visiting ships, rigs and other marine applications. Marketing & development of the towage service also falls within the department's responsibilities. The maintenance of the Point Lisas Harbour and its navigational aids is also a function of this department

Legal and Corporate Services provides legal and corporate secretariat services.

Finance and Accounting provides finance/treasury management and financial operations services.

Administration: provides support services in the areas of Human Resources, Office Facilities Management, Information Technology and Corporate Communication.

EHSS provides the Company with information and advice in matters relating to Environment, Health, Safety and Security. The department is responsible for the conduct of drills, emergency preparedness, evaluation & management of risk, asset protection, positive promotion of health & safety, and compliance with legal requirements towards overseeing the responsible care of the Company's staff and stakeholders.

EFFECT OF SCOPE OF BUSINESS ON MEMBERS OF THE PUBLIC

The framework for the scope of business of NEC is encompassed in its Vision, Mission:

NEC's Vision is to be a global leader in the development of sustainable energy based industries.

NEC's Mission is to leverage its expertise through:

- Ownership and operation of assets
- Innovation
- Strategic alliances
- Market intelligence

for the benefit of all citizens

In its course of business, the NEC does not normally deal directly with members of the general public.

STATEMENTS UNDER SECTION 7 OF THE ACT

Section 7 (1) (a) (ii)

CATEGORIES OF DOCUMENTS IN THE POSSESSION OF NEC

NEC maintains records that relate to its administrative functions, support services and its technical operations (related to its core business activities). These records are generally categorized as follows:

- 1) General Administration And Support Services
 - a) Records related to property management and office services.
- 2) Intra-Governmental Correspondence

376—Continued



NATIONAL ENERGY CORPORATION OF TRINIDAD AND TOBAGO LIMITED

FREEDOM OF INFORMATION ACT, 1999

- a) Correspondence with Ministries, Cabinet and other public authorities.
 - b) Reports to the Central Statistical Office and other governmental financial management institutions.
- 3) Committees Deliberations
 - a) Minutes and reports of Committees and of other internal bodies.
 - 4) Legal Activities
 - a) Records of decisions, legislation, policies and procedures, contracts and agreements, litigation and other legal proceedings, tendering procedure and process.
 - 5) Business Activities
 - a) Business plans, proposals, reviews, reports
 - b) Records related to development, planning and general management of projects
 - c) Records of programmes and relations with communities adjacent to proposed industrial sites
 - d) Information resource management facilities, services and technology
 - e) Marketing and business promotions
 - f) Performance and audit assessments and analyses
 - g) Consultancy, technical, valuation, assessment and various reports which support business decisions
 - h) Policies, procedures and manuals e.g. safety manual, evacuation procedures
 - i) Speeches and presentations to public forums, conferences
 - j) Records related to the management of corporate events
 - 6) Financial And Accounting Operations
 - a) Records related to budgeting, capital investments, financial planning and reporting, taxation, cash management etc.
 - b) Reports to government, audited financial accounts laid in Parliament and other public financial entities
 - c) Accounting records
 - d) Annual financial reports
 - 7) Technical Operations
 - a) Records related to the management and maintenance of marine resources, building and physical infrastructure, including port and marine operations and towage and harbour operations of the NEC and estate management operations of LABIDCO

Section 7 (1) (a) (iii)**DOCUMENTS PREPARED FOR PUBLICATION AND INSPECTION**

If in stock and available, the public may inspect and/or obtain copies of the following material between the hours of 9:30 a.m. and 3:00 p.m. on normal working days at:-

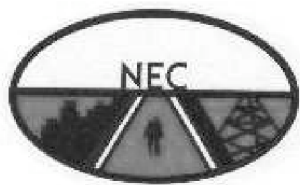
National Energy Corporation of Trinidad and Tobago Limited
 Corner Rivulet and Factory Roads
 Brechin Castle
 Couva

Phone: (868) 636-8471
 Fax: (868) 636-0164

- Various pamphlets on natural gas and the natural gas industry
- Annual Financial Report
- Speeches and presentations to public forums, conferences
- Tendering procedure

If distribution copies of these publications are out of stock, requestors would be required to pay for photocopying or duplication.

376—Continued



NATIONAL ENERGY CORPORATION OF TRINIDAD AND TOBAGO LIMITED

FREEDOM OF INFORMATION ACT, 1999

- All general information is also available on the Company's website, www.nec.co.tt

Section 7 (1) (a) (iv)**DOCUMENTS AVAILABLE BY WAY OF SUBSCRIPTION**

NEC does not currently publish any documents that are available by way of subscription.

Section 7 (1) (a) (v)**PROCEDURE FOR ACCESSING OFFICIAL DOCUMENTS UNDER FOIA****How to Request Information**

- 1) Requests and public services under the FOIA will be entertained between the hours of 9:00am and 12:00pm daily.
- 2) All requests for access to documents that are not readily available in the public domain are to be made on the *Request for Access to Official Document(s) Form* that is available from the Designated Officer or from the FOIA Unit.
- 3) Queries for amendments to documents containing personal information about the applicant are to be directed to the Designated Officer in the form of a letter.
- 4) To ensure prompt handling of requests, please address it to the Designated Officer of the National Energy Corporation of Trinidad and Tobago Limited.
- 5) Requests will be acknowledged as official when made on the prescribed form.
- 6) Applicants must provide sufficient information to enable the Designated Officer to identify the document(s) being requested. If insufficient information is provided clarification would be sought from the applicant.
- 7) The general policy is to answer all requests, both oral and written, for information. However, in order to have the rights given to the applicant by the FOIA (for example the right to challenge a decision if the request for information is refused), the applicant must make the request in writing.
- 8) If the applicant is not sure how to write his/her request or what details to include, communicate with our designated officer.
- 9) A request under the FOIA will not be processed to the extent that it asks for information which is readily available to the public, either from this public authority or from another public authority, for example, brochures, pamphlets, reports etc.

Time Allowed

1. Applicants will be notified within thirty (30) calendar days or before whether or not the request is approved.
2. Applicants whose requests are incomplete or unclear will be informed of same by the Designated Officer who will make arrangements to meet with the applicant for consultation with a view to clarifying the request.
3. The time limit of thirty (30) days will be suspended while consultation with the applicant is being undertaken, and resumes on the day the applicant confirms or alters the request.
4. If the thirty (30) day deadline is not met, the FOIA gives the applicant the right to proceed as though his/her request has been denied.
5. If it appears that processing the applicant's requests may take longer than the statutory limit we will acknowledge the request and advise the applicant of the status.
6. Since there is a possibility that requests may be incorrectly addressed or misdirected, the applicant may wish to call or write to confirm that we have received the request and to ascertain its status.
7. Applicants, whose requests for documents are refused, will be notified by the Designated Officer in writing of the reasons for refusal. The Officer will consult with the applicant about alternative recourses that are open to him/her.

Fees and Charges

1. Details of charges for photocopies or purchase of documents will be determined based upon individual requests by applicants.
2. Applicants will be required to complete an official company invoice before funds are paid to the Cashier.

376—Continued



NATIONAL ENERGY CORPORATION OF TRINIDAD AND TOBAGO LIMITED

FREEDOM OF INFORMATION ACT, 1999

Section 7 (1) (a) (vi)**Officers in the NEC responsible for**

- 1) The initial receipt of and action upon notices under section 10;
- 2) Requests for access to documents under section 13; and
- 3) Application for correction of personal information under section 36 of the FOIA

The *Designated Officer* is:

LIESL ROBERTS
 National Energy Corporation of Trinidad and Tobago Limited
 Corners Rivulet and Factory Roads
 Brechin Castle
 COUVA

Phone: (868) 636-8471 ext 224
 Fax: (868) 636-0164
 Email: Liesl_Roberts@ngc.co.tt

The *Alternate Officer* is:

MARIA BRIDGEMOHAN
 National Energy Corporation of Trinidad and Tobago Limited
 Corner Rivulet and Factory Roads
 Brechin Castle
 COUVA

Phone: (868) 636-8471 ext 208
 Fax: (868) 636-0164
 Email: MariaB@ngc.co.tt

Section 7 (1) (a) (vii)**ADVISORY BOARDS, COUNCILS, COMMITTEES AND OTHER BODIES (WHERE MEETINGS/MINUTES ARE OPEN TO THE PUBLIC)**

At this time, there are no bodies that fall within the meaning of this section of the FOIA.

Section 7 (1) (a) (viii)**LIBRARY/READING ROOM FACILITIES**

NEC does not maintain such facilities falling within the meaning of this section of the FOIA, however there is a designated area committed for such purposes.

Alternatively, information in the public domain can be accessed through our parent company's website at www.ngc.co.tt or at the Company's website www.nec.co.tt General Information enquiries can be made to the Legal and Corporate Services Department, at (868) 636-8471, ext. 206.

A person is not entitled to obtain, in accordance with the procedure provided for in this Part, access to:

1. A document which contains information that is open to public access, as part of a public register or otherwise, in accordance with another written law, where that access is subject to a fee or other charge.

376—Continued



NATIONAL ENERGY CORPORATION OF TRINIDAD AND TOBAGO LIMITED

FREEDOM OF INFORMATION ACT, 1999

2. A document which contains information that is available for purchase by the public in accordance with arrangements made by a public authority.
3. A document that is available for public inspection in a registry maintained by the Registrar General or other public authority.
4. A document which is stored for preservation or safe custody, being a document which is a duplicate of a document of a public authority

For the purposes of FOIA reference, documents may be viewed between 9:30 a.m. and 3:00 p.m. in the designated reading area located at the

National Energy Corporation of Trinidad and Tobago Limited
Corner Rivulet and Factory Roads
Brechtin Castle
Couva

The public is required to adhere to the rules and regulations outlined for use of the designated area.

STATEMENTS UNDER SECTION 8 OF THE ACT

Section 8(1) (a) (i)

Documents containing interpretations or particulars of written laws or schemes administered by the public authority, not being particulars contained in another written law.

At this time, we have no such documents.

Section 8 (1) (a) (ii)

Manuals, rules of procedure, statements of policy, records of decisions, letters of advice to persons outside the public authority, or similar documents containing rules, policies, guidelines, practices or procedures.

The following in-house documents can be made available for inspection only upon request.

- Safety policy and procedures
- Tendering procedure
- Visitors security policy

Section 8 (1) (b)

In enforcing written laws or schemes administered by the public authority where a member of the public might be directly affected by that enforcement, being documents containing information on the procedures to be employed or the objectives to be pursued in the enforcement of, the written laws or schemes.

At this time, we have no such documents.

STATEMENTS UNDER SECTION 9 OF THE ACT

Section 9 (1) (a)

A report, or statement containing the advice or recommendations, of a body or entity established within National Energy Corporation of Trinidad and Tobago Limited.

At this time, we have no such reports or statements.

376—Continued



NATIONAL ENERGY CORPORATION OF TRINIDAD AND TOBAGO LIMITED

FREEDOM OF INFORMATION ACT, 1999

Section 9 (1) (b)

A report, or statement containing the advice or recommendations, (1) of a body or entity established outside National Energy Corporation of Trinidad and Tobago Limited by or under written law, (2) or by a Minister of Government or other public authority for the purpose of submitting a report or reports, providing advice or making recommendations to National Energy Corporation of Trinidad and Tobago Limited or to the responsible Minister.

At this time, we have no such reports or statements.

Section 9 (1) (c)

A report, or statement containing the advice or recommendations, of an inter-departmental Committee whose membership includes an officer of National Energy Corporation of Trinidad and Tobago Limited.

At this time, we have no such reports or statements.

Section 9 (1) (d)

A report, or statement containing the advice or recommendations, of a committee established within National Energy Corporation of Trinidad and Tobago Limited to submit a report, provide advice or make recommendations to the responsible Minister or to another officer of National Energy Corporation of Trinidad and Tobago Limited who is not a member of the committee.

At this time, we have no such reports or statements.

Section 9 (1) (e)

A report (including a report concerning the results of studies, surveys or tests) prepared for National Energy Corporation of Trinidad and Tobago Limited by a scientific or technical expert, whether employed within National Energy Corporation of Trinidad and Tobago Limited or not, including a report expressing the opinion of such an expert on scientific or technical matters.

At this time, we have no such reports.

Section 9 (1) (f)

A report prepared for National Energy Corporation of Trinidad and Tobago Limited by a consultant who was paid for preparing the report.

At this time, we have no such reports.

Section 9 (1) (g)

A report prepared within National Energy Corporation of Trinidad and Tobago Limited and containing the results of studies, surveys or tests carried out for the purpose of assessing, or making recommendations on, the feasibility of establishing a new or proposed Government policy, programme or project.

At this time, we have no such reports.

Section 9 (1) (h)

A report on the performance or efficiency of National Energy Corporation of Trinidad and Tobago Limited, or of an office, division or branch of National Energy Corporation of Trinidad and Tobago Limited, whether the report is of a general nature or concerns a particular policy, programme or project administered by National Energy Corporation of Trinidad and Tobago Limited.

376—Continued



NATIONAL ENERGY CORPORATION OF TRINIDAD AND TOBAGO LIMITED

FREEDOM OF INFORMATION ACT, 1999

At this time, we have no such reports.

Section 9 (1) (i)

A report containing (1) final plans or proposals for the re-organization of the functions of National Energy Corporation of Trinidad and Tobago Limited, (2) the establishment of a new policy, programme or project to be administered by the public authority, (3) the alteration of an existing policy programme or project administered by National Energy Corporation of Trinidad and Tobago Limited, whether or not the plans or proposals are subject to approval by an officer of National Energy Corporation of Trinidad and Tobago Limited, another public authority, the responsible Minister or Cabinet.

At this time, we have no such reports.

Section 9 (1) (j)

A statement prepared within National Energy Corporation of Trinidad and Tobago Limited and containing policy directions for the drafting of legislation.

At this time, we have no such statements.

Section 9 (1) (k)

A report of a test carried out within National Energy Corporation of Trinidad and Tobago Limited on a product for the purpose of purchasing equipment.

At this time, we have no such reports.

Section 9 (1) (l)

An environmental impact statement prepared within National Energy Corporation of Trinidad and Tobago Limited.

At this time, we have no such statements.

Section 9 (1) (m)

A valuation report prepared for National Energy Corporation of Trinidad and Tobago Limited by a valuator, whether or not the valuator is an officer of National Energy Corporation of Trinidad and Tobago Limited.

At this time, we have no such reports.

377

LOSS OF SCOTIALIFE TRINIDAD AND TOBAGO LIMITED POLICIES

SWORN declaration having been made that Policies issued by SCOTIALIFE TRINIDAD AND TOBAGO LIMITED has been lost, and having made application to the Company for a duplicate policy, notice is hereby given that unless objection is raised within one month of the date thereof, the duplicate policies will be issued.

| <i>Name</i> | <i>Policy Number</i> |
|-------------------------|----------------------|
| SATNARINE PRABHUDIALL | U00104290 |
| SHAKEER MOHAMMED | U00105852 |
| ELLIS CLARKE | D0005FI01 |
| DERRICK DUNTIN | U00110958 |
| MEHINDRA DEONARINE | U00109772 |
| SHANKAR M. BIDAISEE | U00107687 |
| BALMIKI CHOON | U0005EW01 |
| SAVITRI DEOSINGH | U00008U01 |
| RAWLSTON MAHADEO | U00109821 |
| MARLDON M. JOHN | U00130165 |
| VERNIE V. THEROULDE | U0005R701 |
| MARSHA TRIM | U00123471 |
| GIDDION WHISKIE | D00107190 |
| BRENT SINANAN | D00130248 |
| ANIL MAHABIR | D00134735 |
| JOYCELYN NELSON | U00114117 |
| DIANNE JONES | U00110417 |
| NARVIN DAVID | U00113584 |
| HOMWATIE RAMLAL | U00136514 |
| DEXTER ROBERTS | D00110834 |
| SHAZIM BAKSH | U00117767 |
| GREGORY CLEMENT | U0000D501 |
| RONALD P. NANDLAL | U0001A001 |
| ALFREDA PASCALL | U00124665 |
| SHASTRI RAMRATTAN | U00101128 |
| TASHA RAMNARINE | U00111137 |
| RASHEED BAIG | D00113367 |
| BRIAN JOHN | U0000PZ01 |
| SHAWN GEORGE | U00112640 |
| ROBIN SINANAN | U00112134 |
| KUMAR P. RAMLOGAN | D000138591 |
| DONEL RICHARDS-MITCHELL | U00113520 |
| ADESH RAMKHALAWAN | U00101878 |
| PRADEEP RAMNINE | U0004YG01 |
| DETRICE D. POLLARD | U00112398 |
| KIENO LAWRENCE | U0004UP01 |
| KATHY-ANN BISSESSAR | U00113321 |
| GONZALES JONES | U00104959 |
| CALEB JOSEPH | D00119789 |
| ERROL FYFE | U0001MK01 |
| BYRON BRUCE | U00104408 |
| VERONICA CRUICKSHANK | U0002DX01 |
| ONEIQUE RANSOME | D00126836 |
| SHELDON SAMPLE | U00118365 |
| LAVAUGHN VIARUEL | U00101437 |
| SUNIL SOODEEN | U00045O01 |
| ZAHIR ALI | U0002Z301 |
| ANDREW DE GANNES | U00124906 |
| LUCY SUKARAN | U00101616 |
| ANNA PAUL | U00104064 |

SCOTIALIFE TRINIDAD AND TOBAGO LIMITED

56-58, Richmond Street,
Port-of-Spain.

378

LOSS OF AMERICAN LIFE & GENERAL INSURANCE COMPANY (TRINIDAD AND TOBAGO) LIMITED POLICIES

PURSUANT to section 163 of the Insurance Act, 1980, this notice is hereby given that after one month of the publication of this notice, this Company intends to issue duplicate policies to replace the following policies, numbers stated below, which have been declared lost/destroyed:

| <i>Name</i> | <i>Policy Number</i> |
|-----------------------|----------------------|
| GARFIELD EMERY | 3366127 |
| ZALINA MOHAMMED KARIM | 3381661 |

AMERICAN LIFE & GENERAL INSURANCE COMPANY (TRINIDAD AND TOBAGO) LIMITED

91-93, St. Vincent Street
Port-of-Spain.

379

PURSUANT to section 163 of the Insurance Act, 1980, this notice is hereby given that after one month of the publication of this notice, this Company intends to issue duplicate policies to replace the following policies, numbers stated below, which have been declared lost/destroyed:

| <i>Name</i> | <i>Policy Number</i> |
|-----------------------|----------------------|
| SAVATRI JAGGERNATH | 3381453 |
| TREVOR PIERRE | 3336282 |
| ANDREA PEREZ | 3428745 |
| WILMA JOHN-MORGAN | 3369344 |
| ALLISTER BOWEN | 576035 |
| GEROM GREEN | 3399038 |
| IAN VALENTINE | 3334715 |
| BRANDON HORSHAM | 3340745 |
| WENDELL BERTRAND PAVY | 3403559 |
| JEAN-PAUL LAYNE | 3386998 |
| ASHMEED CALIPH HUSAIN | 3413626 |
| DWIGHT NARINESINGH | 3368517 |
| RADICA MOHAMED | 3384074 |

AMERICAN LIFE & GENERAL INSURANCE COMPANY (TRINIDAD AND TOBAGO) LIMITED

91-93, St. Vincent Street
Port-of-Spain.