LEGAL NOTICE NO. 13

REPUBLIC OF TRINIDAD AND TOBAGO

The Shipping Act, 1987

REGULATIONS

MADE BY THE MINISTER UNDER SECTION 276 OF THE SHIPPING ACT

THE SHIPPING (SMALL COMMERCIAL VESSELS) REGULATIONS, 2007

Part I

General

1. These Regulations may be cited as the Shipping (Small_{Citation} Commercial Vessels) Regulations, 2007.

2. In these Regulations— Interpretation "Act" means the Shipping Act, 1987; Act No. 24 of 1987 "Boatmaster" or "master" includes any person having command or charge of any vessel other than a pilot; "cargo ship" means a ship which is not a passenger ship or a fishing vessel; "Certificate" means a Certificate of Inspection issued by the Director of Maritime Services; "Code" means the Code of Safety for Small Commercial Vessels; "commercial vessel" means a cargo or passenger ship; "crew" includes seamen and apprentices; "Director" means the Director of Maritime Services as referred to in section 403(2)(a) of the Act; "Division" means the Division of Maritime Services established under section 403(1) of the Act; "employer" means a person who employs a master to command a commercial vessel: "existing licence" means a licence to operate a commercial vessel issued prior to the coming into force of these Regulations; "foreign commercial vessel" means a cargo or passenger ship not registered or licensed in Trinidad and Tobago; "Inspection" means an examination of a foreign commercial vessel whilst in Trinidad and Tobago waters; "managing owner" includes any person not being an agent in whom an owner of such vessel has vested authority to manage and operate the vessel;

- "Minister" means the Minister to whom the responsibility for shipping is assigned;
- "new commercial vessel" means a vessel the keel of which was laid or the construction or lay-up was started on or after 31st January, 2005;
- "on duty" means, in the case of a master who has command of a commercial vessel—
 - (a) to his being on duty—
 - (i) for the purpose of having the command of the vessel to which these Regulations apply; or
 - (ii) for other purposes, in the course of his employment or in any other employment under his employer; or
 - (b) for the purpose of a trade or business carried on by him—
 - (i) to his having command of the vessel to which these Regulations apply for the purposes of that trade or business; or
 - (ii) being otherwise engaged in work for the purposes of trade or business, being work in connection with such a vessel or the passengers carried by it;
- "owner" means—
 - (a) a demise or bare boat charterer; and
 - (b) a managing owner;
- "passenger" means any person carried on board a ship, except—
 - (a) the master, a member of the crew or a person employed or engaged in any capacity on board the ship on the business of the ship;
 - (b) a child under one year of age; or
 - (c) a person carried on the ship under an obligation imposed upon the master to carry shipwrecked, distressed or other persons, or by reason of any circumstances which neither the master nor the owner nor the charterer, if any, could prevent or forestall;
- "passenger ship" means a ship which is constructed or is habitually or on any particular occasion used, for carrying more than twelve passengers;
- "Registrar" means the Registrar of Seamen referred to in section 403(2)(c) of the Act;

- "seaman" includes every person employed or engaged in any capacity on board any ship, other than a master or a pilot or a person temporarily employed on the ship while in port and apprentices;
- "STCW Convention" means the International Convention on Standards of Training, Certification and Watchkeeping, 1978;
- "survey" means an examination of a commercial vessel registered in Trinidad and Tobago;
- "voyage" includes an excursion; and
- "working day" means any period during which a master is on duty which is not followed by an interval for rest of at least eight hours.

3. Subject to regulation 4, these Regulations shall apply to a Application commercial vessel registered in Trinidad and Tobago and a foreign commercial vessel when in Trinidad and Tobago waters—

- (a) of less than five hundred gross register tons;
- (b) between five and twenty-four metres in length; and
- (c) carrying not more than one hundred and fifty passengers or providing overnight accommodations.

4. (1) The Director may grant exemptions from all or any of the Exemptions provisions of these Regulations, as may be specified in the exemption, for classes of commercial vessels or individual cases, subject to such conditions, as he may so specify.

(2) Any exemption given pursuant to these Regulations shall be in writing and shall specify the duration for which it is effective and the conditions, if any on which it is given.

5. Where these Regulations require that a particular piece of Equivalent equipment or machinery be carried in a commercial vessel or that any ^{standards} particular provisions as may be required by the Code shall be made, the Director may permit any other piece of equipment or machinery to be provided or carried, or any other provision to be made, where he is satisfied by trials thereof or otherwise that such other piece of equipment or machinery or provision is at least as effective as that required by these Regulations.

PART II

REQUIREMENTS FOR VESSELS

6. Every commercial vessel to which these Regulations apply shall Vessels to be be surveyed or inspected for the issuance of a Certificate in accordance $\frac{\text{surveyed}}{\text{within one}}$ with regulation 8(2), not later than one year after these Regulations $\frac{\text{year}}{\text{year}}$ come into effect. Application for Certificate 7. (1) The owner of a commercial vessel shall make an application to the Director for a Certificate and such application shall be accompanied by the prescribed fee.

(2) Where an application made under subregulation (1) is in relation to a new commercial vessel, it shall be submitted prior to the start of the construction or conversion of the vessel.

(3) An initial Certificate shall be issued by the Director following a satisfactory survey or inspection for compliance with the Code.

(4) The Certificate shall be in the form set out in the First Schedule and shall be valid from the date of initial inspection, for—

- (a) a period not exceeding one year, for passenger ships which undertake international voyages; and
- (b) a period not exceeding five years, for all other commercial vessels,

unless revoked by the Director.

8. (1) A survey or inspection shall be such as to ensure that the commercial vessel and its equipment are being maintained in accordance with the Code.

(2) An initial or renewal survey or inspection shall include a survey or inspection of—

- (a) the hull and related items on drydock;
- (b) structure;
- (c) machinery;
- (d) electrical equipment;
- (e) lifesaving equipment;
- (f) fire protection equipment;
- (g) pressure vessels and boilers;
- (*h*) steering systems;
- (*i*) other equipment and systems; and
- (j) sanitation and operational practices, including the competence and composition of the crew.

Application for renewal of Certificate 9. (1) The owner of a commercial vessel shall make an application to the Director for the renewal of a Certificate and such application shall be accompanied by the prescribed fee.

First Schedule

Survey or inspection

(2) A new Certificate may be issued by the Director following a satisfactory survey or inspection for compliance with the Code.

10. (1) A passenger ship which undertakes international voyages $_{\text{interval for}}$ shall be surveyed or inspected annually, for the renewal of a Certificate. $_{\text{inspection for}}^{\text{surveyor}}$

renewal of

(2) A commercial vessel other than that mentioned in certificate subregulation (1) shall be surveyed or inspected—

(a) at periodic intervals of not more than twelve months; and

(b) every five years,

for the renewal of a Certificate.

(3) A survey or inspection under subregulation (1) shall be conducted within the three months prior to and the three months following the expiry of the Certificate and the new Certificate shall be effective from the anniversary date of the issuance of the previous Certificate.

(4) A survey or inspection under subregulation (2) shall be conducted within the three months prior to the expiry of the Certificate and the new Certificate shall be effective from the anniversary date of the issuance of the previous Certificate.

(5) Where the survey or inspection for the renewal of certificate under subregulation (1) or (2) is unsatisfactory, a re-survey or re-inspection may be conducted within three months of the unsatisfactory survey or inspection.

(6) Where a re-survey or re-inspection reveals compliance with the Code the new Certificate shall be issued and shall be effective from the anniversary date of the issuance of the previous certificate.

(7) The Director may, at any time where it is considered necessary, require a drydock survey or inspection to be carried out on a commercial vessel.

11. (1) Where the holder of a Certificate proves to the satisfaction Loss of of the Director that he has, without fault on his part, lost or been ^{Certificate} deprived of a Certificate already issued to him the Director shall, on receipt of the prescribed fee, cause a copy of the Certificate to be issued to the holder.

(2) Any copy issued under subregulation (1) shall be certified as a copy by the Registrar or such other person as the Director may authorize. Suspension or 12. (1) The Director may suspend or cancel a Certificate in any cancellation of certificate of inspection Regulations or the Code.

(2) Before a Certificate is suspended or cancelled under subregulation (1), the Director shall give notice to the owner or master of the vessel of the corrective measures to be taken within a specified time.

(3) Where the Director is satisfied that corrective action has been taken he shall not proceed with any further action.

(4) Where the owner or master fails to take the corrective measures required, the Director shall suspend or cancel the Certificate.

(5) Where the Director suspends or cancels the Certificate, the owner or master shall surrender the Certificate to the Director within seven days of the suspension or cancellation.

13. The owner or master of every commercial vessel shall ensure that—

- (a) the safety equipment is maintained in compliance with the Code;
- (b) no change is made in the structural arrangements, machinery or other items on the vessel, after any survey or inspection required by these Regulations, without the approval of the Director; and
- (c) where an accident occurs to a commercial vessel or a deficiency is discovered which affects the safety of the vessel or the efficiency or completeness of its life saving appliances or other equipment, it is reported at the earliest opportunity to the Division, who shall determine if a survey or inspection is necessary.

Prohibition 14. A commercial vessel shall not proceed or attempt to proceed to on proceeding sea unless—

- (a) the owner or master possesses a valid Certificate;
- (b) the Certificate is displayed in some conspicuous place on board;
- (c) the vessel complies with the requirements of the Code, including any requirements as to operation, manning and maintenance and is operated in accordance with any conditions as specified in the Certificate.

Responsibilities of owner and master

PART III

BOATMASTERS AND BOAT ENGINEERS

15. (1) A commercial vessel shall carry in command a Boatmaster Boatmaster and Boat who possesses—

- (a) a licence issued by the Director under regulation 16, stating that the person is qualified to have command of such a vessel, where—
 - (i) the licence is in force and is of a grade appropriate in respect to the waters in which the vessel is being navigated, the size of the vessel and the number of passengers carried; and
 - (ii) the vessel is in an area specified in the licence as one in which a vessel may be navigated under the command of the holder; or
- (b) a certificate of competency as a Deck officer issued in accordance with the provisions of the STCW Convention.

(2) A commercial vessel fitted with main propulsion machinery of up to 750KW shall, where an engineer is required by the Director, carry as Boat Engineer a person who possesses—

- (a) a licence issued by the Director under regulation 16 stating that the person is qualified to be in charge of the engines and machinery of such a vessel where—
 - (i) the licence is in force and is of a grade appropriate in respect to the waters in which the vessel in being navigated; and
 - (ii) the vessel is in an area specified in the licence as one in which a vessel may be operated under the charge of the holder; or
- (b) a certificate of competency as an engineer officer issued in accordance with the provisions of the STCW Convention.

(3) Where authorized by the Director, a commercial vessel fitted with main propulsion machinery above 750KW shall carry a Boat Engineer qualified in accordance with the STCW Convention.

16. (1) An application for a licence as Boatmaster or Boat Engineer Issue of shall be in such form as the Director may specify.

(2) The Director shall, upon receipt of an application and any fee payable, issue a licence as Boatmaster or Boat Engineer where appropriate, to persons who meet the requirements of this regulation, in the form set out in the Second Schedule .

Second Schedule (3) The following requirements shall be specified in sections IX/5 to IX/7 and IX/9 to IX/11 of the Code or from time to time by the Director in a Shipping Notice:

- (a) the standards of competence to be attained;
- (b) the conditions including conditions as to medical fitness and character;
- (c) any exceptions applicable with respect to the required standards or conditions;
- (d) the manner in which the attainment of any such standards or the satisfaction of any such conditions is to be evidenced; and
- (e) the conduct of any examinations and the conditions of admission to them.

(4) Examinations under subregulation (3)(e) shall be conducted by examiners appointed by the Minister.

Grade and 17. (1) A licence as a Boatmaster issued under regulation 16 shall area restrictions of Boat-bear the title "Boatmaster Licence" and shall be of one of the following master licence grades, which shall be stated in the licence:

- (a) Boatmaster Licence Grade 1;
- (b) Boatmaster Licence Grade 2; or
- (c) Boatmaster Licence Grade 3.

(2) The grade of licence appropriate in respect of a commercial vessel to which these Regulations apply shall be determined in accordance with Third Schedule, or where the grade so specified is 2 or Third Schedule 3, a higher grade than that so specified.

> (3) A Boatmaster licence of any grade shall be subject to such restriction as the Director may determine as to the area or areas in which a vessel may be navigated under the command of the holder and every such restriction shall be stated in the licence.

Grade and area 18. (1) A licence as Boat Engineer issued under regulation 16 shall restrictions of Boat Engineer bear the title "Boat Engineer Licence" and shall be of one of the licence following grades, which shall be stated in the licence:

- (a) Boat Engineer Licence Grade 1; or
- (b) Boat Engineer Licence Grade 2.

(2) The grade of licence appropriate in respect of a commercial vessel to which these Regulations apply shall be determined in

accordance with the Fourth Schedule, or where the grade so specified is Fourth 2, a higher grade than that so specified.

(3) A Boat Engineer licence of any grade shall be subject to such restriction as the Director may determine as to the area or areas in which a vessel may be operated under the charge of the holder and every such restriction shall be stated in the licence.

19. (1) The Director shall on an application for renewal of a licence Renewal of by the holder of an existing licence and on receipt of any fee payable licence renew a licence under these Regulations.

- (2) The licence shall—
 - (a) be of the grade which is appropriate in respect of—
 - (i) the waters in which the vessel is being navigated or operated; and
 - (ii) the size of vessel which in the period of twelve months before the coming into force of these Regulations was navigated or operated; and
 - (b) state the area or areas in which a vessel may be navigated or operated under the command or charge of the holder.

20. (1) A licence shall be renewed every three years for persons up $_{\rm Intervals\,for}$ to sixty-three years of age. renewal of

licences

- (2) A renewal under subregulation (1) shall be subject to—
 - (a) the holder providing proof that he has had at least fortyfive days service in commercial vessels for which the licence is valid, during the previous three years; and
 - (b) the submission of a valid medical fitness certificate issued in accordance with the Shipping (Medical L.N. No. 2 Examination) Regulations, 1991. of 1991

(3) A licence shall be renewed annually for persons over sixtythree years of age.

- (4) A renewal under subregulation (3) shall be subject to—
 - (a) the holder providing proof that he has had at least fifteen days service in a commercial vessel for which the licence is valid, during the previous year; and
 - (b) the submission of a valid medical fitness certificate issued in accordance with the Shipping (Medical Examination) Regulations, 1991.

(5) Where a person is unable to produce proof of the experience required under subregulation (2) or (4) that person shall apply for an examination.

(6) A licence issued under these Regulations shall remain valid only so long as the holder complies with the standards of competence and the conditions, including conditions as to medical fitness.

Record to be 21. (1) The Registrar, or such other person authorized by the Director shall keep a copy of—

- (a) every licence issued under this Part;
- (b) every suspension, cancellation or alteration of and any other matter affecting such a licence,

in such manner as the Director may require.

Loss of licence

22. (1) Where the Boatmaster or Boat Engineer proves to the satisfaction of the Director that he has, without fault on his part, lost or been deprived of a Boatmaster or Boat Engineer licence already issued to him, the Director shall, on receipt of any fee payable, cause a copy of the licence to be issued to the Boatmaster or Boat Engineer.

(2) Any copy issued under subregulation (1) shall be certified as a copy by the Registrar or such other person as the Director may authorize.

Suspension or 23. (1) Where it appears to the Director that a licensed Boatmaster or Boat Engineer is unfit to discharge his duties, whether by reason of incompetence or misconduct or for any other reason, he shall cause an inquiry to be held by one or more persons competent in the area and appointed by him.

(2) Where the inquiry recommends the suspension or cancellation of the licence, the Director shall give notice to the Boatmaster or Boat Engineer of the reasons for intended suspension or cancellation and the corrective measures to be taken within a specified time.

(3) Where corrective action has been taken, the Director shall not proceed with any further action.

(4) Where the Boatmaster or Boat Engineer fails to take the corrective measures required, the Director shall suspend or cancel the licence.

(5) Where a licence is suspended or cancelled, it shall be surrendered to the Director within seven days of the suspension or cancellation.

PART IV

HOURS OF WORK

24. (1) It shall be the duty of the owner of a commercial vessel to Duty of owner, ensure, so far as is reasonably practicable, that the master and seamen master, master, master, master, master, master hours than is stipulated.

(2) It shall be the duty of every master of a commercial vessel to ensure, so far as is reasonably practicable that seamen do not work more hours than is stipulated.

(3) Every master and seaman, so far as reasonably practicable, shall ensure that he is properly rested when commencing duty on a commercial vessel.

25. (1) This regulation applies to commercial vessels which Hours of rest complete a voyage in excess of twenty-four hours.

which exceed 24 hours

(2) The hours of rest shall not be less than ten hours in every twenty-four hour period, which may be divided into no more than two periods, one of which shall be at least six hours in length.

(3) The minimum period of ten hours may be reduced to a minimum of six consecutive hours on condition that such reduction shall not extend beyond two days, and not less than seventy hours of rest are provided in each seven-day period.

(4) Notwithstanding subregulations (2) and (3), the stipulated hours of rest need not be maintained in cases of emergency, including giving assistance to other vessels, person in distress at sea, a drill or any overriding operational conditions.

26. (1) This regulation applies to commercial vessels which Working complete a voyage within twenty-four hours.

hours of a master on voyages of less than 24 hours

(2) The working day of a master shall not exceed sixteen hours. less than 24

(3) On any working day a master shall not be on duty on a commercial vessel for a period exceeding more than ten hours in aggregate.

(4) Where on any working day a master has been on duty—

(a) for a period of six hours and the end of that period does not mark the end of his working day; or (b) for periods amounting in the aggregate to six hours and there has not been between any of those periods an interval of at least thirty minutes in which the master was able to obtain rest and refreshment and the end of the last of those periods does not mark the end of the working day,

there shall be an interval of rest of at least thirty minutes at the end of that period or at the end of the last of those periods.

(5) Between any two successive working days of a master, there shall be an interval of at least eight hours during which he may obtain rest and refreshment.

(6) For the purposes of subregulation (5), where a master has command of a vessel in the course of his employment, a period of time shall not be treated as if it is not an interval for rest by reason only that the master may be called upon to report for duty if required.

(7) Notwithstanding subregulations (4) and (5) the rest periods specified need not be maintained in cases of any emergency including giving assistance to other vessels, person in distress at sea, or drill or any overriding operational conditions.

(8) Where the Director considers that it would be appropriate to grant an exemption from all or any of the requirements of subregulation (2) to (6) he may on such terms, if any, as may be specified grant such an exemption; and, subject to giving reasonable notice, the Director may alter or cancel an exemption so granted.

27. (1) Subject to subregulation (2) where any of the requirements of regulation 26 is contravened by a master, he and where applicable, his employer or a person to whose orders the master was subject, who caused or permitted the contravention, commits an offence.

(2) A person shall not be liable under this regulation where he can prove—

- (a) that the contravention was due to an unavoidable delay in the completion of a voyage arising out of circumstances which he could not reasonably have forseen; or
- (b) in the case of a person other than the master, that the contravention was due to the fact that the master for any particular period or periods had conned a vessel or vessels or had been on duty—
 - (i) otherwise than in the employment of the person charged; or,

Contravention of regulation 26 (ii) otherwise than in the employment in which he was subject to the orders of the person charged,

and that the person charged was not, and could not reasonably have become, aware of that fact.

PART V

MANNING AND TRAINING

28. A commercial vessel shall not proceed on a voyage unless the Manning manning of the vessel is in accordance with the Certificate or is approved by the Director in accordance with section IX/17 of the Code.

29. The owner of a passenger ship shall—

Training in emergency

- (a) establish procedures to be taken by the master and procedures crew during an emergency, to assist passengers and to deal with the emergency in general; and
- (b) ensure that the master and crew have received onboard training in the procedures to be observed in the event of an emergency.

PART VI

MISCELLANEOUS

30. (1) Any owner and the master who contravenes any provision of Penalties Parts II or III or regulation 27 of these Regulations is guilty of an offence and is liable on summary conviction to a fine of thirty thousand dollars and to imprisonment for six months.

(2) Any person who contravenes any provision of Part IV or regulation 28 of these Regulations is guilty of an offence and is liable on summary conviction to a fine of twelve thousand dollars.

(3) In any proceedings for an offence under these Regulations it shall be a defence for the person charged to prove that he took all reasonable steps to avoid the commission of the offence.

31. In any case where a commercial vessel does not comply with the Power to requirements of these Regulations, that vessel may be detained and ^{detain} section 316 of the Act shall apply to the detention.

32. The fees prescribed in the Fifth Schedule shall be paid in Prescribed respect of certificates, licences, documents and examinations specified $_{\text{Fifth}}^{\text{fees}}$ therein. Schedule

		FIRST SCHED	ULE				
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	FORM O	F CERTIFICATE (OF INSPECTION	ON			
Ma		blic of Trinidad and Tobago itime Services Division ICATE OF INSPECTION		Certification Date:			
		· .		Exp		piration Date:	
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Republic of Trinidad and Tobago Maritime Services Division CERTIFICATE OF INSPECTION				
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DRY DOCKING AND OTH	ER EXAMINATIONS	· · · · ·		

# FIRST SCHEDULE—Cont'd

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# FIRST SCHEDULE—Cont'd

	SECOND SCHEDULE	1.11.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1
	FORM A	(Regulation 16(2))
	BOATMASTER LICENCE GRADE 1/2/ Issued by the MARITIME SERVICES DIVISION under the provisions of the Code of Safety for Small Commercial Vesse	Photograph Is No
This is to certify that is entitled to service in a capacil in length operating in <i>Protected</i>	ly requiring a Boatmaster Grade 1/2/3 in comment Coastal/Exposed waters.	rcial vessels ofmetres.
The holder is further entitled to ENDORSEMENT:	scrve in such other vessels and in such areas as i	nay be endorsed on this certificate.
Date of Issue:	Da	te of Expiry:
Signature of Holder This Licence is va	OFFICIAL STAMP lid only if the holder is in possession of a valid N	Director of Maritime Services Aedical Filness Certificate
	FORM B	
X	BOATMASTER LICENCE GRADE 1/ Issued by the MARITIME SERVICES DIVISION under the provisions of the Code of Safety for Small Commercial Vess	Photograph
is entitled to service in a caba	city requiring a Boatmaster Engineer Grade 1/2 i erating in Coastal/Exposed waters.	n commercial vessels with an installed
The holder is further entitled ENDORSEMENT:	to serve in such other vessels and in such areas as	s may be endorsed on this certificate.
Date of Issue:	Ľ.	Date of Expiry:
Signature of Holder	STAMP	Director of Maritime Services
This Licence is y	alid only if the holder is in possession of a valid	Medical Fitness Certificate

#### THIRD SCHEDULE

# [Regulation 17(2)]

Waters	Size and type of vessel	Minimum Grade of Licence
Protected	Open Boat	3
	<24m	2
Coastal	Open Boat	3
	<12m passenger	2
	<24m other than passenger	2
	12-24m passenger	1
Exposed	All vessels	1

(1) Where a vessel (the master of which is required to hold a Grade 2 licence) is to be operated more than twenty miles from a safe haven, a navigation endorsement is required. The syllabus is a practical test in chartwork and electronic aids to navigation.

(2) Where a vessel (the master of which is required to hold a licence) has sails as it principal means of propulsion a sail endorsement is required. The requirement for a sail endorsement is a practical test on boat handling as detailed an Annex 11-1.1. of the Code.

# FOURTH SCHEDULE

#### [Regulation 18(2)]

Waters	Size of vessel	Minimum Grade of Licence
Protected	<24m	2
Coastal	15-24m	2
Exposed	<24m	1

A person required to hold a Boat Engineer Licence on a passenger vessel must also have completed six months service whilst holding the appropriate certificate.

#### FIFTH SCHEDULE

(Regulation 32)

#### Schedule of Fees

(Trinidad and Tobago Dollars)

The applicant shall pay the following fees for services and documents:

Initial application fee $re$ : vessel survey/inspection	\$1	,000.00			
Renewal application fee re: vessel survey/inspection	\$	800.00			
Vessel re: survey/re-inspection	\$	500.00			
Initial or renewal Certificate of Inspection	\$1	,000.00			
Replacement of lost Certificate of Inspection $\dots \dots$ ,	\$1	,000.00			
Application fee <i>re</i> : initial/renewal licence as Boatmaster/					
Boat Engineer	\$	500.00			
Processing fee re: application for Boatmaster/Boat					
Engineer Licence	\$	200.00			
Examination fee for Boatmaster/Boat Engineer Licence	\$	300.00			
Replacement of lost Boatmaster/Boat Engineer Licence	\$	500.00			

Dated this 1st day of November, 2007.

C. IMBERT Minister of Works and Transport

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